

# KAIMOSI FRIENDS UNIVERSITY COLLEGE (KAFUCO)

(A Constituent College of Masinde Muliro University of Science and Technology)

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# TENDER FOR THE PROVISION GENERATOR SERVINCING AND MAINTAINANCE

# RESERVED FOR YOUTH, WOMEN AND PEOPLE LIVING WITH DISABILITY

TENDER NO. KAF/GENERATOR/SERVICE/0006/2022-2023

CLOSING DATE: Wednesday 25th May, 2021

**TIME: 10:00 AM** 

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#### INVITATION TO TENDER

10<sup>th</sup> May, 2022

**TENDER NO: KAFUCO/EST/010/2021-2022** 

#### PROVISION OF GENERATOR SERVICING AND MAINTAINANCE

- 1.1. Kaimosi Friends University College invites bids from tenderers **FOR PROVISION OF GENERATOR** SERVICING and maintenance for a period of one year. The university college may further renewal the contract due to satisfactory performance.
- 1.2. Interested Eligible candidates may obtain further information from procurement department during normal working hours, Monday to Friday, 8.00a.m. 1:00 p.m. and 2.00p.m. 5.00 p.m.
- 1.3. A complete set of tender documents may be obtained by interested candidates by downloading free of charge from Kaimosi Friends University College website <a href="www.kafuco.ac.ke">www.kafuco.ac.ke</a> Tenderers who download the tender documents through the website are advised to forward their particulars immediately to <a href="mailto:procurement@kafuco.ac.ke">procurement@kafuco.ac.ke</a> before the closing date to allow records and communication for any tender clarifications and addenda.
  - 1.4 Tenders must be accompanied by *Tender-Securing Declaration filled form*.

Completed tender documents should be enclosed in plain sealed envelopes, marked with tender reference number and addressed to:

The Principal, Kaimosi Friends University College P O Box 385-50309 KAIMOSI -KENYA

Website: www.kafuco.ac.ke

and be deposited in the Tender Box outside the principal Board Room, so as to be received on or before Wednesday 25<sup>th</sup> May, 2022 10:00 am East African Time.

- 1.5. Prices quoted should be net inclusive of all taxes and delivery, in Kenya Shillings and shall remain valid for 120 days from the closing date of the tender.
- 1.6. Tenders will be opened immediately after the deadline date and time specified above or any deadline date and time specified later. Tenders will be publicly opened in the presence of the Tenderers' designated representatives who choose to attend.

Late tenders will be rejected.

**In-Charge Procurement** 

FOR THE PRINCIPAL KAIMOSI FRIENDS UNIVERSITY COLLEGE



### SECTION I -INSTRUCTIONS TO TENDERERS

#### A. General

#### 1. Scope of Tender

1.1 This tendering document is for the servicing of university generator, as specified in Section V, THE KAFUCO Requirements. The name, identification and number of this tender are specified in the **TDS**.

#### 2 Throughout this tendering document:

The terms:

- a) The term "in writing" means communicated in written form (e.g., by mail, e-mail, fax, including if specified **in the TDS**, distributed or received through the electronic- procurement system used by KAFUCO) with proof of receipt;
- b) if the contexts or esquires, "singular" means "plural" and vice versa; and
- c) "Day" means calendar day, unless otherwise specified as "Business Day". A Business Day is any day that is an official working day of the KAFUCO. It excludes the KAFUCO official public holidays.
- 2.2 The successful Tenderer will be expected to complete the performance of the Services by the Intended Completion Date provided **in the TDS**.

### 3. Fraud and Corruption

- 3.1 KAFUCO requires compliance with the provisions of the Public Procurement and Asset Disposal Act, 2015 (the Act), Section 62 "Declaration not to engage in corruption". The tender submitted by a person shall include a declaration that the person shall not engage in any corrupt or fraudulent practice and a declaration that the person or his or her sub-contractors are not debarred from participating in public procurement proceedings.
- 3.2 KAFUCO requires compliance with the provisions of the Competition Act 2010, regarding collusive practices in contracting. Any tenderer found to have engaged in collusive conduct shall be disqualified and criminal and/or civil sanctions may be imposed. To this effect, Tenders shall be required to complete and sign the "Certificate of Independent Tender Determination" annexed to the Form of Tender.
- 3.3 **Unfair Competitive Advantage** Fairness and transparency in the tender process require that the firms or their Affiliates competing for a specific assignment do not derive a competitive advantage from having provided consulting services related to this tender. To that end KAFUCO shall indicate in the **TDS** and make available to all the firms together with this tender document all Information that would in that respect gives such firm any unfair competitive advantage over competing firms.
- 3.4 Unfair Competitive Advantage-Fairness and transparency in the tender process require that the Firms or their Affiliates competing for a specific assignment do not derive a competitive advantage from having provided consulting services related to this tender. KAFUCO shall indicate in the **TDS** firms (if any) that provided consulting services for the contract being tendered for. KAFUCO shall check whether the owners or controllers of the Tenderer are same as those that provided consulting services. KAFUCO shall, upon request, make available to any tenderer information that would give such firm unfair competitive advantage over competing firms.

#### 4. Eligible Tenderers

- 4.1 A Tenderer may be a firm that is a private entity, a state-owned entity or institution subject to ITT 4.6, or any combination of such entities in the form of a Joint Venture (JV) under an existing agreement or with the intent to enter into such an agreement supported by a Form of intent. In the case of a joint venture, all members shall be jointly and severally liable for the execution of the entire Contract in accordance with the Contract terms. The JV shall nominate a Representative who shall have the authority to conduct all business for and on behalf of any and all the members of the JV during the Tendering process and, in the event the JV is awarded the Contract, during contract execution. Members of a joint venture may not also make an individual tender, be a sub contract or in a separate tender or be part of another joint venture for the purposes of the same Tender. The maximum number of JV members shall be specified in the **TDS**.
- 4.2 Public Officers, of KAFUCO, their Spouses, Child, Parent, Brothers or Sister. Child, Parent, Brother or Sister of a Spouse in which they have a substantial or controlling interest shall not be eligible to tender or be awarded contract. Public Officers are also not allowed to participate in any procurement proceedings.
- 4.3 A Tenderer shall not have a conflict of interest. Any Tenderer found to have a conflict of interest shall be disqualified. A Tenderer may be considered to have a conflict of interest for the purpose of this Tendering process, if the Tenderer:
  - a Directly or indirectly controls, is controlled by or is under common control with another Tenderer; or
  - b Receives or has received any direct or indirect subsidy from another Tenderer; or
  - c has the same legal representative as another Tenderer; or
  - d has a relationship with another Tenderer, directly or through common third parties, that puts it in a position to influence the Tender of another Tenderer, or influence the decisions of the KAFUCO regarding this Tendering process; or
  - e or any of its affiliates participated as a consultant in the preparation of KAFUCO Requirements (including Activities Schedules, Performance Specifications and Drawings) for the Non-Consulting Services that are the subject of the Tender; or
  - f or any of its affiliates has been hired (or is proposed to be hired) by KAFUCO or KAFUCO for the Contract implementation; or
  - g would be providing goods, works, or non-consulting services resulting from or directly related to consulting services for the preparation or implementation of the project specified in the TDS ITT 2. 1 that it provided or were provided by any affiliate that directly or indirectly controls, is controlled by, or is under common control with that firm; or
  - h has a close business or family relationship with a professional staff of KAFUCO or of the project implementing agency, who:
    - i. are directly or in directly involved in the preparation of the tendering document or specifications of the contract, and/or the Tender evaluation process of such contract; or
    - ii. Would be involved in the implementation or supervision of such contract unless the conflicts teeming from such relationship has been resolved in a manner acceptable to KAFUCO throughout the procurement process and execution of the Contract.
- 4.4 A firm that is a Tenderer (either individually or as a JV member) shall not participate in more than one tender, except for permitted alternative Tenders. This includes participation as a subcontractor. Such participation shall result in the disqualification of all Tenders in which the firm is involved. A firm that is not a Tenderer or a JV member may participate as a sub-contractor in more than one Tender.
- 4.5 A Tenderer may have the nationality of any country, subject to the restrictions pursuant to ITT 4.9.
- 4.6 A Tenderer that has been sanctioned by PPRA or are under a temporary suspension or a debarment imposed by any other entity of the Government of Kenya shall be ineligible to be pre-qualified for, initially selected for, tender for, propose for, or be awarded a contract during such period of sanctioning. The list of debarred firms and individuals is available at the PPRA Website <a href="www.ppra.go.ke">www.ppra.go.ke</a>
- 4.7 Tenderers that are state-owned enterprises or institutions in Kenya may be eligible to compete and be awarded a Contract(s) only if they can establish that they:
- 4.8 (i) are legally and financially autonomous;
- 4.9 (ii) operate under Commercial law;

- 4.11 Firms and individuals may be ineligible if (a) as a matter of law or official regulations, Kenya prohibits commercial relations with that country, or (b) by an act of compliance with a decision of the United Nations Security Council take under Chapter VII of the Charter of the United Nations, Kenya prohibits any import of goods or contracting of works or services from that country, or any payments to any country, person or entity in that country.
- 4.12 A Tenderer shall be deemed to have the nationality of a country if the Tenderer is constituted, incorporated or registered in and operates in conformity with the provisions of the laws of that country, as evidenced by its articles of incorporation (or equivalent documents of constitution or association) and its registration documents, as the case may be. This criterion also shall apply to the determination of the nationality of proposed subcontractors or sub consultants for any part of the Contract including related Services.
- 4.13 Foreign tenderers are required to source at least forty (40%) percent of their contract inputs (in supplies, subcontracts and labor) from national suppliers and contractors. To this end, a foreign tenderer shall provide in its tender documentary evidence that this requirement is met. Foreign tenderers not meeting this criterion will be automatically disqualified. Information required to enable KAFUCO determine if this condition is met shall be provided in for this purpose is be provided in "SECTION III-EVALUATION AND QUALIFICATION CRITERIA, Item 9".
- 4.14 Pursuant to the eligibility requirements of ITT 4.10, a tender is considered a foreign tenderer, if the tenderer is not registered in Kenya or if the tenderer is registered in Kenya and has <u>less than 51 percent</u> ownership by Kenyan citizens. JVs are considered as foreign tenderers if the individual member firms are not registered in Kenya or if are registered in Kenya and have less than 51 percent ownership by Kenyan citizens. The JV shall not sub contract to foreign firms more than 10 percent of the contract price, excluding provisional sums.
- 4.15 The Competition Act of Kenya requires that firms wishing to tender as Joint Venture undertakings which may prevent, distort or lessen competition in provision of services are prohibited unless they are exempt in accordance with the provisions of Section 25 of the Competition Act, 2010. JVs will be required to seek for exemption from the Competition Authority. Exemption shall not be a condition for tender, but it shall be a condition of contract award and signature. A JV tenderer shall be given opportunity to seek such exemption as a condition of award and signature of contract. Application for exemption from the Competition Authority of Kenya may be accessed from the website <a href="https://www.cak.go.ke">www.cak.go.ke</a>
- 4.16 A Tenderer may be considered ineligible if he/she offers goods, works and production processes with characteristics that have been declared by the relevant national environmental protection agency or by other competent authority as harmful to human beings and to the environment shall not be eligible for procurement.
- 4.17 A Kenyan tenderer shall be eligible to tender if it provides evidence of having fulfilled his/her tax obligations by producing a valid tax compliance certificate or tax exemption certificate is sued by the Kenya Revenue Authority.

#### **5** Qualification of the Tenderer

- 5.1 All Tenderers shall provide in Section IV, Tendering Forms, a preliminary description of the proposed work method and schedule, including drawings and charts, as necessary.
- 5.2 In the event that pre-qualification of Tenderers has been undertaken as stated in ITT 18.3, the provisions on qualifications of the Section III, Evaluation and Qualification Criteria shall not apply.

#### **B.** Contents of Tendering Document

#### **6** Sections of Tendering Document

6.1 The tendering document consists of Parts 1, 2, and 3, which include all the sections indicated below and should be read in conjunction with any Addenda issued in accordance with ITT 10.

#### **PART 1: Tendering Procedures**

- i) Section I Instructions to Tenderers (ITT)
- ii) Section II Tender Data Sheet (TDS)

- iii) Section III Evaluation and Qualification Criteria
- iv) Section IV Tendering Forms

#### **PART 2: KAFUCO's Requirements**

v) Section V-KAFUCO's Requirements

#### **PART 3: Contract**

- vi) Section VI General Conditions of Contract (GCC)
- vii) Section VII Special Conditions of Contract (SCC)
- viii) Section VIII Contract Forms
- 6.2 The Invitation to Tender (ITT) notice or the notice to pre-qualify Tenderers, as the case may be, issued by the KAFUCO is not part of this tendering document.
- 6.3 Unless obtained directly from THE KAFUCO, the KAFUCO is not responsible for the completeness of the document, responses to requests for clarification, the Minutes of the pre-Tender meeting (if any), or Addenda to the tendering document in accordance with ITT 10. In case of any contradiction, documents obtained directly from THE KAFUCO shall prevail.
- 6.4 The Tenderer is expected to examine all instructions, forms, terms, and specifications in the tendering document and to furnish with its Tender all information or documentation as is required by the tendering document.

#### 7. Site Visit

7.1 The Tenderer, at the Tenderer's own responsibility and risk, is encouraged to visit and examine and inspect the Site of the Required Services and its surroundings and obtain all information that may be necessary for preparing the Tender and entering in to a contract for the Services. The costs of visiting the Site shall beat the Tenderer's own expense.

#### **8** Pre-Tender Meeting

- 8.1 THE KAFUCO shall specify in the **TDS** if a pre-tender conference will be held, when and where. The KAFUCO shall also specify in the **TDS** if a pre-arranged pretender site visit will be held and when. The Tenderer's designated representative is invited to attend a pre-arranged pretender visit of the site of the works. The purpose of the meeting will be to clarify issues and to answer questions on any matter that may be raised at that stage.
- 8.2 The Tenderer is requested to submit any questions in writing, to reach the KAFUCO not later than the period specified in the **TDS** before the meeting.
- 8.3 Minutes of the pre-Tender meeting and the pre-arranged pre tender visit of the site of the service, if applicable, including the text of the questions asked by Tenderers and the responses given, together with any responses prepared after the meeting, will be transmitted promptly to all Tenderers who have acquired the Tender Documents in accordance with ITT6.3. Minutes shall not identify the source of the questions asked.
- 8.4 The KAFUCO shall also promptly publish anonymized (*no names*) Minutes of the pre-Tender meeting and the pre-arranged pretender visit of the site of the service at the web page identified **in the TDS**. Any modification to the Tender Documents that may become necessary as a result of the pre-Tender meeting shall be made by the KAFUCO exclusively through the issue of an Addendum pursuant to ITT10 and not through the minutes of the pre-Tender meeting. Nonattendance at the pre-Tender meeting will not be a cause for disqualification of a Tenderer.

#### **9** Clarification of Tender Documents

9.1 A Tenderer requiring any clarification of the Tender Document shall contact the KAFUCO in writing at the KAFUCO's address specified in the TDS or raise its enquiries during the pre-Tender meeting and the pre-arranged pretender visit of the site of the Service if provided for in accordance with ITT 8.4. The KAFUCO will respond in writing to any request for clarification, provided that such request is received no later than the period specified in the **TDS** prior to the deadline for submission of tenders. The KAFUCO shall forward copies of its response to all tenderers who have acquired the Tender Documents in accordance with ITT 6.3, including a description of the inquiry but without identifying its source. If so specified in the **TDS**, the KAFUCO shall

also promptly publish its response at the webpage identified in the **TDS**. Should the clarification result in changes to the essential elements of the Tender Documents, the KAFUCO shall amend the Tender Documents appropriately following the procedure under ITT 8.4.

#### 10 Amendment of Tender Documents

- 10.1 At any time prior to the deadline for submission of Tenders, the KAFUCO may amend the Tendering document by issuing addenda.
- 10.2 Any addendum issued shall be part of the tendering document and shall be communicated in writing to all who have obtained the tendering document from the KAFUCO in accordance with ITT 6.3. The KAFUCO shall also promptly publish the addendum on the KAFUCO's web page in accordance with ITT 8.4.
- 10.3 To give prospective Tenderers reasonable time in which to take an addendum into account in preparing their Tenders, the KAFUCO shall extend, as necessary, the deadline for submission of Tenders, in accordance with ITT 24.2 below.

#### C. Preparation of Tenders

#### 11 Cost of Tendering

11.1 The Tenderer shall bear all costs associated with the preparation and submission of its Tender, and the KAFUCO shall not be responsible or liable for those costs, regardless of the conduct or outcome of the Tendering process.

#### 12 Language of Tender

12.1 The Tender as well as all correspondence and documents relating to the Tender exchanged by the Tenderer and the KAFUCO shall be written in the English language. Supporting documents and printed literature that are part of the Tender may be in another language provided they are accompanied by an accurate translation of the relevant passages into the English language, in which case, for purposes of interpretation of the Tender, such translation shall govern.

#### 13 Documents Comprising the Tender

- 13.1 The Tender shall comprise the following:
  - a **Form of Tender** prepared in accordance with ITT 14;
  - b Schedules: priced Activity Schedule completed in accordance with ITT 14 and ITT 16;
  - c Tender Security or Tender-Securing Declaration in accordance with ITT 21.1;
  - d **Alternative Tender**: if permissible in accordance with ITT 15;
  - e **Authorization:** written confirmation authorizing the signatory of the Tender to commit the Tenderer, in accordance with ITT 22.3;
  - f **Qualifications:** documentary evidence in accordance with ITT 19 establishing the Tenderer's qualifications to perform the Contract if its Tender is accepted;
  - **Tenderer's Eligibility**: documentary evidence in accordance with ITT 19 establishing the Tenderer's eligibility to Tender;
  - h **Conformity**: documentary evidence in accordance with ITT 18, that the Services conform to the tendering document; and
  - i Any other document required in the **TDS**.

The Tenderer shall chronologically serialize pages of all tender documents submitted.

- 13.2 In addition to the requirements under ITT 13.1, Tenders submitted by a JV shall include a copy of the Joint Venture Agreement entered into by all members. Alternatively, a Form of intent to execute a Joint Venture Agreement in the event of a successful Tender shall be signed by all members and submitted with the Tender, together with a copy of the proposed Agreement.
- 13.3 The Tenderer shall furnish in the Form of Tender information on commissions and gratuities, if any, paid or to be paid to agents or any other party relating to this Tender.

#### 14 Form of Tender and Activity Schedule

- 14.1 The Form of Tender and priced Activity Schedule shall be prepared using the relevant forms furnished in Section IV, Tendering Forms. The forms must be completed without any alterations to the text, and no substitutes shall be accepted except as provided under ITT 22.3. All blank spaces shall be filled in with the information requested.
- 14.2 The Tenderer shall furnish in the Form of Tender information on commissions and gratuities, if any, paid or to be paid to agents or any other party relating to this Tender.

#### 15 Alternative Tenders

- 15.1 Unless otherwise indicated **in the TDS**, alternative Tenders shall not be considered. If alternatives are permitted, only the technical alternatives, if any, of the Best Evaluated Tender shall be considered by the KAFUCO.
- 15.2 When alternative times for completion are explicitly invited, a statement to that effect will be included **in the TDS** and the method of evaluating different time schedules will be described in Section III, Evaluation and Qualification Criteria.
- 15.3 When specified **in the TDS**, Tenderers a reemitted to submit alternative technical solutions for specified parts of the Services, and such parts will be identified **in the TDS**, as will the method for their evaluating, and described in Section VII, KAFUCO's Requirements.

#### 16. Tender Prices and Discounts

- 16.1 The prices and discounts (including any price reduction) quoted by the Tenderer in the Form of Tender and in the Activity Schedule (s) shall conform to the requirements specified below.
- 16.2 All lots (contracts) and items must be listed and priced separately in the Activity Schedule(s).
- 16.3 The Contract shall be for the Services, as described in Appendix A to the Contract and in the Specifications (or Terms of Reference), based on the priced Activity Schedule, sub mitted by the Tenderer.
- 16.4 The Tenderer shall quote any discounts and indicate the methodology for their application in the Form of Tender in accordance with ITT 16.1.
- 16.5 The Tenderer shall fill in rates and prices for all items of the Services described in the in Specifications (or Terms of Reference), and listed in the Activity Schedule in Section VII, KAFUCO's Requirements. Items for which no rate or price is entered by the Tenderer will not be paid for by the KAFUCO when executed and shall be deemed covered by the other rates and prices in the Activity Schedule.
- 16.6 All duties, taxes, and other levies payable by the Service Provider under the Contract, or for any other cause, as of the date 30 days prior to the deadline for submission of Tenders, shall be included in the total Tender price submitted by the Tenderer.
- 16.7 If provided for **in the TDS**, the rates and prices quoted by the Tenderer shall be subject to adjustment during the performance of the Contract in accordance with and the provisions of Clause 6.6 of the General Conditions of Contract and / or Special Conditions of Contract. The Tenderer shall submit with the Tender all the information required under the Special Conditions of Contract and of the General Conditions of Contract.
- 16.8 For the purpose of determining the remuneration due for additional Services, a breakdown of the lump-sum price shall be provided by the Tenderer in the form of Appendices D and E to the Contract.

#### 17 Currencies of Tender and Payment

17.1 The currency of the Tender and the currency of payments shall be Kenya Shillings.

#### 18 Documents Establishing Conformity of Services

18.1 To establish the conformity of the Non-Consulting Services to the tendering document, the Tenderer shall furnish as part of its Tender the documentary evidence that Services provided conform to the technical specifications and standards specified in Section VII, KAFUCO's Requirements.

- 18.2 Standards for provision of the Non-Consulting Services are intended to be descriptive only and not restrictive. The Tenderer may offer other standards of quality provided that it demonstrates, to THE KAFUCO satisfaction, that the substitutions ensure substantial equivalence or are superior to those specified in the Section VII, KAFUCO's Requirements.
- 18.3 Tender to provide, as part of the data for qualification, such information, including details of ownership, as shall be required to determine whether, according to the classification established by THE KAFUCO, a Service provider or group of service providers. qualifies for a margin of preference. Further the information will enable the KAFUCO identify any actual or potential conflict of interest in relation to the procurement and/or contract management processes, or a possibility of collusion between tenderers, and thereby help to prevent any corrupt influence in relation to the procurement processor contract management.
- 18.4 The purpose of the information described in ITT 18.3 above, overrides any claims to confidentiality which a tenderer may have. There can be no circumstances in which it would be justified for a tenderer to keep information relating to its ownership and control confidential where it is tendering to undertake public sector work and receive public sector funds. Thus, confidentiality will not be accepted by THE KAFUCO as a justification for a Tenderer's failure to disclose, or failure to provide required information on its ownership and control.
- 18.4 The Tenderer shall provide further documentary proof, information or authorizations that THE KAFUCO may request in relation to ownership and control which information on any changes to the information which was provided by the tenderer under ITT18.3. The obligations to require this information shall continue for the duration of the procurement process and contract performance and after completion of the contract, if any change to the information previously provided may reveal a conflict of interest in relation to the award or management of the contract.
- 18.6 All information provided by the tendered pursuant to these requirements must be complete, current and accurate as at the date of provision to KAFUCO. In submitting the information required pursuant to these requirements, the Tenderer shall warrant that the information submitted is complete, current and accurate as at the date of submission to THE KAFUCO.
- 18.7 If a tenderer fails to submit the information required by these requirements, its tenderer will be rejected. Similarly, if THE KAFUCO is unable, after taking reasonable steps, to verify to a reasonable degree the information submitted by a tenderer pursuant to these requirements, then the tender will be rejected.
- 18.8 If information submitted by a tenderer pursuant to these requirements, or obtained by KAFUCO (whether through its own enquiries, through notification by the public or otherwise), shows any conflict of interest which could materially and improperly benefit the tenderer in relation to the procurement or contract management process, then:
  - i) If the procurement process is still on going, the tenderer will be disqualified from the procurement process,
  - ii) if the contract has been awarded to that tenderer, the contract award will be set aside, pending the outcome of (iii),
  - iii) The tenderer will be referred to the relevant law enforcement authorities for investigation of whether the tenderer or any other persons have committed any criminal offence.
- 18.9 If a tenderer submits information pursuant to these requirements that is in complete, inaccurate or out-of-date, or attempts to obstruct the verification process, then the consequences ITT 18.9 will ensue unless the tenderer can show to the reasonable satisfaction of KAFUCO that any such act was not material, or was due to genuine err or which was not attributable to the intentional act, negligence or recklessness of the tenderer.

#### 19 Documents Establishing the Eligibility and Qualifications of the Tenderer

- 19.1 To establish Tenderer's their eligibility in accordance with ITT4, Tenderers shall complete the Form of Tender, included in Section IV, Tendering Forms.
- 19.2 The documentary evidence of the Tenderer's qualification stopper form the Contract if its Tender is accepted shall establish to the KAFUCO's satisfaction that the Tenderer meets each of the qualification criterion specified in Section III, Evaluation and Qualification Criteria.
- 19.3 All Tenderers shall provide in Section IV, Tendering Forms, a preliminary description of the proposed methodology, work plan and schedule.

- 19.4 In the event that pre-qualification of Tenderers has been undertaken, only Tenders from prequalified Tenderers shall be considered for award of Contract. These qualified Tenderers should submit with their Tenders any information updating their original pre-qualification applications or, alternatively, confirm in their Tenders that the originally submitted pre-qualification information remains essentially correct as of the date of Tender submission.
- 19.5 If pre-qualification has not taken place before Tendering, the qualification criteria for the Tenderers are specified- in Section III, Evaluation and Qualification Criteria.

#### 20 Period of Validity of Tenders

- 20.1 Tenders shall remain valid for the Tender Validity period specified in the TDS. The Tender Validity period starts from the date fixed for the Tender submission deadline date (as prescribed by KAFUCO in accordance with ITT 24.1). A Tender valid for a shorter period shall be rejected by KAFUCO as non-responsive.
- 20.2 In exceptional circumstances, prior to the expiration of the Tender validity period, KAFUCO may request Tenderers to extend the period of validity of their Tenders. The request and the responses shall be made in writing. If a Tender Security is requested in accordance with ITT20, it shall also be extended for a corresponding period. A Tenderer may refuse the request without forfeiting its Tender Security. A Tenderer granting the request shall not be required or permitted to modify its Tender.

#### 21 Tender Security

- 21.1 The Tenderer shall furnish as part of its Tender, either a Tender-Securing Declaration or a Tender security, as specified in the TDS, in original form and, in the case of a Tender Security, in the amount and currency specified in the TDS.
- 21.2 A Tender Securing Declaration shall use the form included in Section IV, Tendering Forms.
- 21.3 If a Tender Security is specified pursuant to ITT 21.1, from a reputable source, and an eligible country and shall be in any of the following forms at the Tenderer's option:
  - i) cash;
  - ii) a bank guarantee;
  - iii) a guarantee by an insurance company registered and licensed by the Insurance Regulatory Authority listed by the Authority; or
  - iv) a guarantee issued by a financial institution approved and licensed by the Central Bank of Kenya,
- 21.4 If a Tender Security is specified pursuant to ITT 20.1, any Tender not accompanied by a substantially responsive Tender Security shall be rejected by the KAFUCO as non-responsive.
- 21.5 If a Tender Security is specified pursuant to ITT 21.1, the Tender Security of unsuccessful Tenderers shall be returned as promptly as possible upon the successful Tenderer's signing the contract and furnishing the Performance Security pursuant to ITT 46. THE KAFUCO shall also promptly return the tender security to the tenderers where the procurement proceedings are terminated, all tenders were determined non-responsive or a bidder declines to extend tender validity period.
- 21.6 The Tender Security of the successful Tenderer shall be returned as promptly as possible once the successful Tenderer has signed the Contract and furnished the required Performance Security.
- 21.7 The Tender Security may be forfeited or the Tender-Securing Declaration executed:
  - a. If a Tenderer withdraw sits Tender during the period of Tender validity specified by the Tenderer in the Form of Tender, or any extension there to provide by the Tenderer; or
  - b. if the successful Tenderer fails to:
  - c. sign the Contract in accordance with ITT 46; or
  - d. Furnish a performance security in accordance with ITT 47.

- 21.8 Where tender securing declaration is executed, KAFUCO shall recommend to the PPRA that PPRA debars the Tenderer from participating in public procurement as provided in the law.
- 21.9 The Tender Security or Tender-Securing Declaration of a JV must be in the name of the JV that submits the Tender. If the JV has not been legally constituted into a legally enforceable JV at the time of Tendering, the Tender security or Tender-Securing Declaration shall be in the names of all future members as named in the Form of intent referred to in ITT 4.1 and ITT 13.2.
- 21.10A tenderer shall not issue a tender security to guarantee itself.

#### 22 Format and Signing of Tender

- 22.1 The Tenderer shall prepare one original of the documents comprising the Tender as described in ITT 13, bound with the volume containing the Form of Tender, and clearly marked "Original. "In addition, the Tenderer shall submit copies of the Tender, in the number specified **in the TDS**, and clearly marked as "Copies. "In the event of discrepancy between them, the original shall prevail.
- 22.2 Tenderers shall mark as "CONFIDENTIAL "information in their Tenders which is confidential to their business. This may include proprietary information, trade secrets, or commercial or financially sensitive information.
- 22.3 The original and all copies of the Tender shall be typed or written in indelible ink and shall be signed by a person or persons duly authorized to sign on behalf of the Tenderer. This authorization shall consist of a written confirmation as specified **in the TDS** and shall be attached to the Tender. The name and position held by each person signing the authorization must be typed or printed below the signature. All pages of the Tender where entries or amendments have been made shall be signed or initialed by the person signing the Tender.
- 22.4 In case the Tenderer is a JV, the Tender shall be signed by an authorized representative of the JV on behalf of the JV, and so as to be legally binding on all the members as evidenced by a power of attorney signed by their legally authorized representatives.
- 22.5 Any inter-lineation, erasures, or overwriting shall be valid only if they are signed or initialed by the person signing the Tender.

#### D. Submission and Opening of Tenders

#### 23 Sealing and Marking of Tenders

- 23.1 Depending on the sizes or quantities or weight of the tender documents, a tenderer may use an envelope, package or container. The Tenderer shall deliver the Tender in a single sealed envelope, or in a single sealed package, or in a single sealed container bearing the name and Reference number of the Tender, addressed to KAFUCO and a warning not to open before the time and date for Tender opening date. Within the single envelope, package or container, the Tenderer shall place the following separate, sealed envelopes:
  - a. in an envelope or package or container marked "ORIGINAL", all documents comprising the Tender, as described in ITT13; and
  - b. in an envelope or package or container marked "COPIES", all required copies of the Tender; and
  - c. if alternative Tenders are permitted in accordance with ITT15, and if relevant:
    - i. in an envelope or package or container marked "ORIGINAL-ALTERNATIVE TENDER", the alternative Tender; and
    - ii. in the envelope or package or container marked "COPIES- ALTERNATIVE TENDER", all required copies of the alternative Tender.

The inner envelopes or packages or containers shall:

- a) Bear the name and address of KAFUCO.
- b) Bear the name and address of the Tenderer; and
- c) Bear the name and Reference number of the Tender.
- 23.2 If an envelope or package or container is not sealed and marked as required, the *KAFUCO* will assume no responsibility for the misplacement or premature opening of the Tender. Tenders misplaced or opened prematurely will not be accepted.

#### 24 Deadline for Submission of Tenders

- **24.1** Tenders must be received by KAFUCO at the address and no later than the date and time specified **in the TDS**. When so specified **in the TDS**, Tenderers shall have the option of submitting their Tenders electronically. Tenderers submitting Tenders electronically shall follow the electronic Tender submission procedures specified **in the TDS**.
- 24.2 KAFUCO may, at its discretion, extend the deadline for the submission of Tenders by amending the tendering document in accordance with ITT9, in which case all rights and obligations of KAFUCO and Tenderers previously subject to the deadline shall thereafter be subject to the deadline as extended.

#### 25 Late Tenders

25.1 KAFUCO shall not consider any Tender that arrives after the dead line for submission of Tenders, in accordance with ITT 24. Any Tender received by KAFUCO after the deadline for submission of Tenders shall be declared late, rejected, and returned un opened to the Tenderer.

#### 26 Withdrawal, Substitution and Modification of Tenders

- 26.1 A Tenderer may withdraw, substitute, or modify its Tender after it has been submitted by sending a written notice, duly signed by a n authorized representative, and shall include a copy of the authorization (the power of attorney) in accordance with ITT 21.3, (except that withdrawal notices do not require copies). The corresponding substitution or modification of the Tender must accompany the respective written notice. All notices must be:
  - a) Prepared and submitted in accordance with ITT 21 and ITT 22 (except that withdrawal notices do not require copies), and in addition, the respective envelopes shall be clearly marked "WITHDRAWAL," "SUBSTITUTION," or "MODIFICATION;" and
  - b) Received by KAFUCO prior to the deadline prescribed for submission of Tenders, in accordance with ITT 23.
- 26.2 Tenders requested to be withdrawn in accordance with ITT 25.1 shall be returned unopened to the Tenderers.
- 26.3 No Tender may be withdrawn, substituted, or modified in the interval between the deadline for submission of Tenders and the expiration of the period of Tender validity specified by the Tenderer on the Form of Tender or any extension thereof.

#### 27 Tender Opening

- 27.1 Except as in the cases specified in ITT 23 and ITT 25.2, KAFUCO shall, at the Tender opening, publicly open and read out all Tenders received by the deadline at the date, time and place specified in the TDS in the presence of Tenderers' designated representatives and anyone who choose to attend. Any specific electronic Tender opening procedures required if electronic tendering is permitted in accordance with ITT 23.1 shall be as specified in the TDS.
- 27.2 First, envelopes marked "WITHDRAWAL" shall be opened and read out and the envelope with the corresponding Tender shall not be opened, but returned to the Tenderer. If the withdrawal envelope does not contain a copy of the "power of attorney" confirming the signature as a person duly authorized to sign on behalf of the Tenderer, the corresponding Tender will be opened. No Tender withdrawal shall be permitted unless the corresponding withdrawal notice contains a valid authorization to request the withdrawal and is read out at Tender opening.
- 27.3 Next, envelopes marked "SUBSTITUTION" shall be opened and read out and exchanged with the corresponding Tender being substituted, and the substituted Tender shall not be opened, but returned to the Tenderer. No Tender substitution shall be permitted unless the corresponding substitution notice contains a valid authorization to request the substitution and is read out at Tender opening.
- 27.4 Next, envelopes marked "MODIFICATION" shall be opened and read out with the corresponding Tender. No Tender modification shall be permitted unless the corresponding modification notice contains a valid authorization to request the modification and is read out at Tender opening.
- 27.5 Next, all remaining envelopes shall be opened one at a time, reading out: the name of the Tenderer and whether there is a modification; the total Tender Prices, per lot (contract) if applicable, including any discounts and

- alternative Tenders; the presence or absence of a Tender Security or Tender-Securing Declaration, if required; and any other details as KAFUCO may consider appropriate.
- 27.6 Only Tenders, alternative Tenders and discounts that are opened and read out at Tender opening shall be considered further. The Form of Tender and the priced Activity Schedule are to be initialed by representatives of the KAFUCO attending Tender opening in the manner specified **in the TDS**.
- 27.7 The KAFUCO shall neither discuss the merits of any Tender nor reject any Tender (except for late Tenders, in accordance with ITT25.1).
- 27.8 The KAFUCO shall prepare are cord of the Tender opening that shall include, as a minimum:
  - a) The name of the Tenderer and whether there is a withdrawal, substitution, or modification;
  - b) The Tender Price, per lot (contract) if applicable, including any discounts; and
  - c) any alternative Tenders;
  - d) The presence or absence of a Tender Security or Tender-Securing Declaration, if one was required.
  - e) Number of pages of each tender document submitted
- 27.9 The Tenderers' representatives who a rep resent shall be requested to sign the record. The omission of a Tenderer's signature on the record shall not invalidate the contents and effect of the record. A copy of the tender opening register shall be distributed to Tenderer upon request.

## E. Evaluation and Comparison of Tenders

#### 28 Confidentiality

- 28.1 Information relating to the evaluation of Tenders and recommendation of contract award, shall not be disclosed to Tenderers or any other persons not officially concerned with the Tendering process until information on the Intention to Award the Contract is transmitted to all Tenderers in accordance with ITT 42.
- 28.2 Any effort by a Tenderer to influence KAFUCO in the evaluation or contract award decisions may result in the rejection of its Tender.
- 28.3 Notwithstanding ITT 28.2, from the time of Tender opening to the time of Contract Award, if any Tenderer wishes to contact KAFUCO on any matter related to the Tendering process, it should do so in writing.

#### 29 Clarification of Tenders

- 29.1 To assist in the examination, evaluation, and comparison of Tenders, and qualification of the Tenderers, the KAFUCO may, at KAFUCO's discretion, ask any tenderer for clarification of its Tender including break downs of the prices in the Activity Schedule, and other information that KAFUCO may require. Any clarification submitted by a Tenderer in respect to its Tender and that is not in response to a request by KAFUCO shall not be considered. KAFUCO's request for clarification and the response shall be in writing. No change, including any voluntary increase or decrease, in the prices or substance of the Tender shall be sought, offered, or permitted, except to confirm the correction of arithmetic errors discovered by KAFUCO in the evaluation of the Tenders, in accordance with ITT32.
- 29.2 If a Tenderer does not provide clarifications of its Tender by the date and time set in KAFUCO's request for clarification, its Tender may be rejected.

#### 30 Deviations, Reservations, and Omissions

- 30.1 During the evaluation of Tenders, the following definitions apply:
  - a) "Deviation" is a departure from the requirements specified in the tendering document;
  - b) "Reservation" is the setting of limiting conditions or withholding from complete acceptance of the requirements specified in the tendering document; and
  - c) "Omission" is the failure to submit part or all of the information or documentation required in the tendering document.

#### 31 Determination of Responsiveness

- 31.1 The KAFUCO's determination of a Tender's responsiveness is to be based on the contents of the Tender itself, as defined in ITT 12.
- 31.2 A substantially responsive Tender is one that meets the requirements of the tendering document without material deviation, reservation, or omission. A material deviation, reservation, or omission is one that:
  - a) If accepted, would:
    - i. affect in any substantial way the scope, quality, or performance of the Non-Consulting Services specified in the Contract; or
    - ii. limit in any substantial way, inconsistent with the tendering document, KAFUCO's rights or the Tenderer's obligations under the Contract; or
  - b) if rectified, would unfairly affect the competitive position of other Tenderers presenting substantially responsive Tenders.
- 31.3 The KAFUCO shall examine the technical aspects of the Tender submitted in accordance with ITT 18 and ITT 19, in particular, to confirm that all requirements of Section VII, KAFUCO's Requirements have been met without any material deviation or reservation, or omission.
- 31.4 If a Tender is not substantially responsive to the requirements of tendering document, it shall be rejected by the KAFUCO and may not subsequently be made responsive by correction of the material deviation, reservation, or omission.
- 31.5 Provided that a Tender is substantially responsive, the KAFUCO may waive any non-conformity in the Tender.
- 31.6 Provided that a Tender is substantially responsive, KAFUCO may request that the Tenderer submit the necessary information or documentation, within a reasonable period of time, to rectify nonmaterial non-conformities or omissions in the Tender related to documentation requirements. Requesting information or documentation on such non-conformities shall not be related to any aspect of the price of the Tender. Failure of the Tenderer to comply with the request may result in the rejection of its Tender.
- 31.7 Provided that a Tender is substantially responsive, KAFUCO shall rectify quantifiable nonmaterial non-conformities related to the Tender Price. To this effect, the Tender Price shall be adjusted, for comparison purposes only, to reflect the price of a missing or non-conforming item or component in the manner specified in the TDS.

#### 32 Arithmetical Errors

- 32.1 The tender sum as submitted and read out during the tender opening shall be absolute and final and shall not be the subject of correction, adjustment or amendment in any way by any person or entity.
- 32.2 Provided that the Tender is substantially responsive, KAFUCO shall handle errors on the following basis:
  - a) Any error detected if considered a major deviation that affects the substance of the tender, shall lead to disqualification of the tender as non-responsive.
  - b) Any errors in the submitted tender arising from a miscalculation of unit price, quantity, subtotal and total bid price shall be considered as a major deviation that affects the substance of the tender and shall lead to disqualification of the tender as non-responsive and
  - c) If there is a discrepancy between words and figures, the amount in words shall prevail
- 32.3 Tenderers shall be notified of any error detected in their bid during the notification of a ward.

#### 33 Conversion to Single Currency

33.1 For evaluation and comparison purposes, the currency(ies) of the Tender shall be converted into a single currency as specified in the TDS.

#### 34 Evaluation of Tenders

- 34.1 KAFUCO shall use the criteria and methodologies listed in this ITT and Section III, Evaluation and Qualification Criteria. No other evaluation criteria or methodologies shall be permitted. By applying the criteria and methodologies, KAFUCO shall determine the Best Evaluated Tender. This is the Tender of the Tenderer that meets the qualification criteria and whose Tender has been determined to be:
  - a) Substantially responsive to the tendering document; and
  - b) The lowest evaluated cost.
- 34.2 In evaluating the Tenders, KAFUCO will determine for each Tender the evaluated Tender cost by adjusting the Tender price as follows:
  - a) Price adjustment due to discounts offered in accordance with ITT 16.4;
  - b) price adjustment due to quantifiable non material non-conformities in accordance with ITT 31.3;
  - c) converting the amount resulting from applying (a) and (b) above, if relevant, to a single currency in accordance with ITT33; and
  - d) any additional evaluation factors specified **in the TDS** and Section III, Evaluation and Qualification Criteria.
- 34.3 The estimated effect of the price adjustment provisions of the Conditions of Contract, applied over the period of execution of the Contract, shall not be considered in Tender evaluation.
- 34.4 In the case of multiple contracts or lots, Tenderers are allowed to tender for one or more lots and the methodology to determine the lowest evaluated cost of the lot (contract) and for combinations, including any discounts offered in the Form of Tender, is specified in Section III, Evaluation and Qualification Criteria. For one or more lots (contracts). Each lot or contract will be evaluated in accordance with ITT
- 35.5. The methodology to determine the lowest evaluated tenderer or tenderers based one lot (contract) or based on a combination of lots (contracts), will be specified in Section III, Evaluation and Qualification Criteria. In the case of multiple lots or contracts, tenderer will be will be required to prepare the Eligibility and Qualification Criteria Form for each Lot.

#### 35 Comparison of Tenders

35.1 KAFUCO shall compare the evaluated costs of all substantially responsive Tenders established in accordance with ITT 35.2 to determine the Tender that has the lowest evaluated cost.

#### 36 Abnormally Low Tenders and Abnormally High

#### **Tenders Abnormally Low Tenders**

- 36.1 An Abnormally Low Tender is one where the Tender price, in combination with other elements of the Tender, appears so low that it raises material concerns as to the capability of the Tenderer in regards to the Tenderer's ability to perform the Contract for the offered Tender Price.
- 36.2 In the event of identification of a potentially Abnormally Low Tender, KAFUCO shall seek written clarifications from the Tenderer, including detailed price analyses of its Tender price in relation to the subject

- matter of the contract, scope, proposed methodology, schedule, allocation of risks and responsibilities and any other requirements of the Tender document.
- 36.3 After evaluation of the price analyses, in the event that KAFUCO determines that the Tenderer has failed to demonstrate its capability to perform the Contract for the offered Tender Price, KAFUCO shall reject the Tender.

#### **Abnormally High Tenders**

- 36.4 An abnormally high price is one where the tender price, in combination with other constituent elements of the Tender, appears unreasonably too high to the extent that THE KAFUCO is concerned that it (THE KAFUCO) may not be getting value for money or it may be paying too high a price for the contract compared with market prices or that genuine competition between Tenderers is compromised.
- 36.5 In case of an abnormally high price, KAFUCO shall make a survey of the market prices, check if the estimated cost of the contract is correct and review the Tender Documents to check if he specifications, scope of work and conditions of contract are contributory to the abnormally high tenders. KAFUCO may also seek written clarification from the tenderer on the reason for the high tender price. KAFUCO shall proceed as follows:
  - i) If the tender price is abnormally high based on wrong estimated cost of the contract, KAFUCO may accept or not accept the tender depending on KAFUCO budget considerations.
  - ii) If specifications, scope of work and/or conditions of contract are contributory to the abnormally high tender prices, KAFUCO shall reject all tenders and may retender for the contract based on revised estimates, specifications, scope of work and conditions of contract, as the case maybe.
- 36.6 If THE KAFUCO that the Tender Price is abnormally too high because genuine competition between tenderers is compromised (often due to collusion, corruption or other manipulations), KAFUCO shall reject all Tenders and shall institute or cause competent Government Agencies to institute an investigation on the cause of the compromise, before retendering.

#### 37 Unbalanced and/or Front-Loaded Tenders

- 37.1 If in KAFUCO opinion, the Tender that is evaluated as the lowest evaluated price is seriously unbalanced and/or front loaded, KAFUCO may require the Tenderer to provide written clarifications. Clarifications may include detailed price analyses to demonstrate the consistency of the tender prices with the scope of works, proposed methodology, schedule and any other requirements of the Tender document.
- 37.2 After the evaluation of the information and detailed price analyses presented by the Tenderer, KAFUCO may as appropriate:
  - a) Accept the Tender; or
  - b) require that the total amount of the Performance Security be increased at the expense of the Tenderer to a level not exceeding 10% of the Contract Price; or
  - c) agree on a payment mode that eliminates the inherent risk of KAFUCO paying too much for undelivered works; or
  - d) Reject the Tender.

#### 38 Qualification of the Tenderer

- 38.1 KAFUCO shall determine to its satisfaction whether the Tenderer that is selected as having submitted the lowest evaluated cost and substantially responsive Tender is eligible and meets the qualifying criteria specified in Section III, Evaluation and Qualification Criteria.
- 38.2 The determination shall be based upon an examination of the documentary evidence of the Tenderer's qualifications submitted by the Tenderer, pursuant to ITT 18. The determination shall not take into consideration the qualifications of other firms such as the Tenderer's subsidiaries, parent entities, affiliates, subcontractors or any other firm(s)different from the Tenderer that submitted the Tender.

38.3 An affirmative determination shall be a prerequisite for award of the Contract to the Tenderer. A negative determination shall result in disqualification of the Tender, in which event KAFUCO shall proceed to the Tenderer who offers a substantially responsive Tender with the next lowest evaluated cost to make a similar determination of that Tenderer's qualifications to perform satisfactorily.

#### 39 KAFUCO's Right to Accept Any Tender, and to Reject Any or All Tenders

39.1 KAFUCO reserves the right to accept or reject any Tender, and to annul the Tendering process and reject all Tenders at any time prior to Contract Award, without there by incurring any liability to Tenderers. In case of annulment, all Tenders submitted and specifically, Tender securities, shall be promptly returned to the Tenderers.

#### F. Award of Contract

#### 43 Award Criteria

43.1 KAFUCO shall award the Contract to the successful tenderer whose tender has been determined to be the Lowest Evaluated Tender.

#### 42 Notice of Intention to enter in to a Contract

- 42.1 Upon award of the contract and Prior to the expiry of the Tender Validity Period KAFUCO shall issue a Notification of Intention to Enter into a Contract/Notification of a ward to all tenderers which shall contain, at a minimum, the following information:
  - a) The name and address of the Tenderer submitting the successful tender;
  - b) The Contract price of the successful tender;
  - c) a statement of the reason(s) the tender of the unsuccessful tenderer to whom the letter is addressed was unsuccessful, unless the price information in(c) above already reveals the reason;
  - d) the expiry date of the Stand still Period; and
  - e) instructions on how to request a debriefing and/or submit a complaint during the stand still period;

#### 43 Stand still Period

- 43.1 The Contract shall not be signed earlier than the expiry of a Standstill Period of 14 days to allow any dissatisfied tender to launch a complaint. Where only one Tender is submitted, the Standstill Period shall not apply.
- 43.2 Where a Standstill Period applies, it shall commence when KAFUCO has transmitted to each Tenderer the Notification of Intention to Enter in to a Contract with the successful Tenderer.

#### 44 Debriefing by KAFUCO

- 44.1 On receipt of the KAFUCO's <u>Notification of Intention to Enter into a Contract</u> referred to in ITT 42, an unsuccessful tenderer may make a written request to KAFUCO for a debriefing on specific issues or concerns regarding their tender. KAFUCO shall provide the debriefing with in five days of receipt of the request.
- 44.2 Debriefings of unsuccessful Tenderers may be done in writing or verbally. The Tenderer shall bear its own costs of attending such a debriefing meeting.

#### 45 Letter of Award

Prior to the expiry of the Tender Validity Period and upon expiry of the Standstill Period specified in ITT 43.1, upon addressing a complaint that has been filed within the Standstill Period, KAFUCO shall transmit the <u>Letter of Award</u> to the successful Tenderer. The letter of award shall request the successful tenderer to furnish the Performance Security within 21 days of the date of the letter.

#### 46 Signing of Contract

- 46.1 Upon the expiry of the fourteen days of the Notification of Intention to enter into contract and upon the parties meeting their respective statutory requirements, KAFUCO shall send the successful Tenderer the Contract Agreement.
- 46.2 Within fourteen (14) days of receipt of the Contract Agreement, the successful Tenderer shall sign, date, and return it to KAFUCO.
- 46.3 The written contract shall be entered into within the period specified in the notification of award and before expiry of the tender validity period

#### 47 Performance Security

- 47.1 Within twenty-one (21) days of the receipt of the Form of Acceptance from KAFUCO, the successful Tenderer, if required, shall furnish the Performance Security in accordance with the GCC 3.9, using for that purpose the Performance Security Form included in Section X, Contract Forms, or another Form acceptable to the KAFUCO. If the Performance Security furnished by the successful Tenderer is in the form of a bond, it shall be issued by a bonding or insurance company that has been determined by the successful Tenderer to be acceptable to KAFUCO. A foreign institution providing a bond shall have a correspondent financial institution located in Kenya, unless KAFUCO has agreed in writing that a correspondent financial institution is not required.
- 47.2 Failure of the successful Tenderer to submit the above-mentioned Performance Security or sign the Contract shall constitute sufficient grounds for the annulment of the award and forfeiture of the Tender Security. In that event the KAFUCO may award the Contract to the Tenderer offering the next Best Evaluated Tender.

#### 48 Publication of Procurement Contract

- 48.1 Within fourteen days after signing the contract, the KAFUCO shall publish the awarded contract at its notice boards and websites; and on the Website of the Authority. At the minimum, the notice shall contain the following information:
  - a) Name and address of the KAFUCO;
  - b) Name and reference number of the contract being awarded, a summary of its scope and the selection method used;
  - c) The name of the successful Tenderer, the final total contract price, the contract duration.
  - d) Dates of signature, commencement and completion of contract;
  - e) Names of all Tenderers that submitted Tenders, and their Tender prices as read out at Tender opening.

#### 49 Adjudicator

49.1 KAFUCO proposes the person named **in the TDS** to be appointed as adjudicator or under the Contract, at an hourly fee specified in **the TDS**, plus reimbursable expenses. If the Tenderer disagrees with this Tender, the Tenderer should so state in the Tender. If, in the Form of Acceptance, KAFUCO has not agreed on the appointment of the Adjudicator, the Adjudicator shall be appointed by the Appointing Authority designated in the Special Conditions of Contract at the request of either party.

#### 50 Procurement Related Complaint

50.1 The procedures for making a Procurement-related Complaint are as specified in the **TDS**.

ITT Reference	TENDER DATA SHEET
ITT I .1	Tender reference no: TENDER NO: KAFUCO/Generator/Service /2022-2023 KAFUCO: Kaimosi Friends University College
	Name of The Contract: SERVICING AND MAINTENCE OF UNIVERSITY GENERATOR
ITT 6.1	Interested candidates may download the tender document from KAFUCO website: www. KAFUCO .ac.ke. Tenderers who download the tender documents through the website are advised to registers at the Supply Chain Offices or email their contacts through <a href="mailto:procurement@kafuco.ac.ke">procurement@kafuco.ac.ke</a> before the closing date; to allow records and communication for any tender clarifications and addenda.
ITT 8.1	Pre -tender site visit is a must to all interested bidder they will choose any date. from 19th May to 20th May ,2022 during normal working hours. Monday-Friday, 8.00am to 1.00pm and 2.00pm-5.00pm . Kindly observe Ministry of health Covid Guidelines measures
ITT 17.1	Tenders shall remain valid for 120 days or as specified in the Invitation to tender after date of tender opening prescribed by the university college
ITT 18.1	Tender security is not required for this tender since its reserved for small and micro enterprises or enterprises owned by women, youth, persons with disabilities and other disadvantaged groups. The target group/tenderer is required to fill and sign the tender securing declaration form.
ITT 19.1	The bidders must submit one (1) ORIGINAL TENDER" and one (1) COPY of the Tender sealed in a single outer envelope
ITT 20.3	Bulky tenders which will not fit in the tender box shall be received at the Principals office and bidders should register after submission.
ITT 21.1	Completed tenders must be delivered to the address below on or before Wednesday 25th May, 2022
	The Principal
	Kaimosi Friends University College P O Box 385-50309 Kaimosi
	1 O DOX 303-30307 Raililosi
ITT 24.1	Tender will be opened on the same day Wednesday 25 <sup>th</sup> May, 2022 10:00 am East African Time. Tenderers' representatives who choose to attend in the location specified in the invitation to tender. The tenderers' representatives may access the opening exercise through electronic means through a link that will be provided to bidders.

ITT 29.3	Any errors in the submitted tender arising from a miscalculation of unit price, quantity, subtotal and total bid price shall be considered as a major deviation that affects the substance of the tender and shall lead to disqualification of the tender as non-responsive.
ITT 31.1	Prices shall be quoted in Kenya Shillings.
ITT 32.5	This Invitation to tender is reserved for small and micro enterprises or enterprises owned by women, youth, persons with disabilities and other disadvantaged groups The tenderers shall provide the services for the stipulated duration from the date of commencement (hereinafter referred to as the term) specified in the tender documents.
ITT 33 .2	Prices indicated on the Price Schedule shall be the cost of the services quoted including all customs duties and VAT and other taxes payable and shall remain valid for 120 days after the date of tender opening. The same information shall be indicated in the form of tender.
ITT 33 .6 (F)	Awarding shall be to the lowest evaluated responsive bidder

#### SECTION III - EVALUATION AND QUALIFICATION CRITERIA

#### 1. General Provision

- 1.1 Wherever a Tenderer is required to state a monetary amount, Tenderers should indicate the Kenya Shilling equivalent using the rate of exchange determined as follows:
  - a) For construction turnover or financial data required for each year-Exchange rate prevailing on the last day of the respective calendar year (in which the amounts for that year are to be converted) was originally established.
  - b) Value of single contract-Exchange rate prevailing on the date of the contract signature.
  - c) Exchange rates shall be taken from the publicly available source identified in the ITT. Any error in determining the exchange rates in the Tender may be corrected by KAFUCO.
- 1.2 This section contains the criteria that the Employer shall use to evaluate tender and qualify tenderers. No other factors, methods or criteria shall be used other than specified in this tender document. The Tenderer shall provide all the information requested in the forms included in Section IV, Tendering Forms. KAFUCO should use **the Standard Tender Evaluation Report for Goods and Works** for evaluating Tenders.

#### 1.3 Evaluation and contract award Criteria

KAFUCO shall use the criteria and methodologies listed in this Section to evaluate tenders and arrive at the Lowest Evaluated Tender. The tender that (i) meets the qualification criteria,(ii)has been determined to be substantially responsive to the Tender Documents, and(iii) is determined to have the Lowest Evaluated Tender price shall be selected for award of contract.

#### A Preliminary examination/Mandatory Evaluation for Determination of Responsiveness

А	PRELIMINARY EVALUATION/ MANDATORY REQUIREMENT	YES/NO
1.	Attach a Copy of Certificate of Registration / Incorporation	
2.	Attach System Generated Copy of CR12 for Incorporated Companies( generated within the last six months)	
3.	Copy of a Valid Tax Compliance Certificate	
4.	Copy of PIN /VAT Certificate indicating Tax Obligations	
6.	Audited Accounts for the last (2) two consecutive years signed by a certified auditor.	
	☐ The Accounts must be complete (Full Audited Accounts	
	i.e. not sections of it)	
	☐ Any Discrepancies in the Accounts must be explained	
	in the notes failure to which the accounts will be	
	rejected.	
7.	Must provide a Business Profile that contains a Contact address: Physical, Postal, Telephone and Email address of the Firm, Clearly indicating the list of Directors or Partners as applicable.	
8.	Bidder Must Fill, Sign and Stamp the Form of Tender	
9.	Bidders Must Submit One (1 No) Original Document and One (1 No) copy of the tender document	

10.	Bidders MUST have their document paginated to ensure compliance with Section 74(1) Public Procurement and Disposal Act 2015 (in format 1,2,3,4to the last page). All pages must be paginated whether there is information or not. The copy of the document must have the same page numbers as the Original Document. Tenders with repeated pages, missing page numbers and any other inconsistencies will BE REJECTED		
11	The bid has been submitted in the format required by the procuring entity with all sections of the bid as issued by the procuring entity		
12	Signed Pre-tender site visit form		
13	Attach a valid Agpo Certificate		
Pursuant to Section 79 of the Public Procurement and Disposal Act 2015, any tender not meeting the mandatory and any other eligibility criteria will NOT proceed to the Technical evaluation stage. Bidders MUST provide a written undertaking that the prices shall remain valid for 12 months form the contract date In line with the PPADA 2015			

# TECHNICAL EVALUATION TECHNICAL EVALUATION -100 MKS

		T
1	Bidders are required to provide evidence of adequate experience	
2	of the firm 40 Marks (FORM X)	40 Marks
	Must provide Four (4) reference from Corporate Clients where they have provided services of similar nature (Similar refers to scope and Cost) for the last five years. As a proof Bidder should, Provide Information in the required format:  i) Four (4) Projects Meeting the required scope and cost in Form X (2 mks each) Total 8 Mks ii) Bidders should attach certified copies of contracts from the clients where they have successfully carried out similar/ related works (5 mks each) Total 20 mks iii) Original Recommendation letters addressed to principal, Kaimosi Friends University College. (3 mks each) Total 12 Mks.	
	Methodology and Work Plan  The bidder should provide a detailed methodology and work plan describing how they will provide the service in order to meet KAFUCO requirements with regard to:	
	<ul> <li>(a) Principle of work Statement and details of how work SHALL be performed managed and reported. – 5 Marks</li> <li>(b) Assignment of manpower – 5 Marks</li> </ul>	
		30 marks
	(c) Supervision of staff and frequency of the same – 5 Marks	
	(d) Provision for backup and emergency services when required – 5 Marks	
	(e) Reporting and incidents attendance plan - 5 Marks	

(f) Bidders to provide details on how they will mitigate risk to ensure

that services to KAFUCO are not interrupted – 5 Marks

3	Perso	nnel qualification and Experience; CV Format	30 Mks
	to be copies (10 M Certif	provide a list of three (3) key staff with relevant qualifications engaged for the assignment. Must attach CVs and relevant s of academic and professional certificates for each staff. earks for each staff with their CV, Academic and Professional icates attached)	
	i.	CV in the format provided. (2 marks each)	
	ii.	Attach copies of Academic and Professional Certificates for each staff. 2 marks.	
	iii.	At least a Diploma in Electrical/Electronic Engineering or in Mechanical Engineering. (2 marks each).	
	iν.	Evidence of Professional Training and Certification as a	
		technician for the servicing generators as proposed by KAFUCO. (2 marks each).	
	ν.	The proposed staff should have worked as a technician for at least 3 years. (2 marks each).	
	Only	firms attaining 70% and above in Technical score (St) during	
	Techr	nical Evaluation will proceed to Financial Evaluation.	
D	FINA	NCIAL EVALUATION	
		cial evaluation will be carried out on Least Cost Basis(LCB)	

#### **SECTION IV - TENDERING FORMS**

#### 1. FORM OF TENDER

(Amended and issued pursuant to PPRA CIRCULAR No. 02/2022)

#### INSTRUCTIONS TO TENDERERS

- i) All italicized text is to help the Tenderer in preparing this form.
- ii) The Tenderer must prepare this Form of Tender on stationery with its letterhead clearly showing the Tenderer's complete name and business address. Tenderers are reminded that this is a mandatory requirement.
- iii)Tenderer must complete and sign CERTIFICATE OF INDEPENDENT TENDER DETERMINATION and the SELF DECLARATION FORMS OF THE TENDERER as listed under (s) below.

Date of this	Tender submission:	[insert date (a.	s day, month and	year) of	Tender submiss	ion] Tender
Name	and	Identification:	[insert		identification]	Alternative
No.:	[inse	ert identification No ij	f this is a Tender	for an alte	ernative]	
То:	[Insert con	iplete name of Procurii	ng Entity]			

- a) **No reservations:** We have examined and have no reservations to the tendering document, including Addenda issued in accordance with ITT 9;
- b) **Eligibility**: We meet the eligibility requirements and have no conflict of interest in accordance with ITT 4;
- c) **Tender-Securing Declaration:** We have not been suspended nor declared ineligible by the Procuring Entity based on execution of a Tender-Securing Declaration or Proposal-Securing Declaration in Kenya in accordance with ITT 4.7;
- d) **Conformity:** We offer to provide the Maintenance services in conformity with the tendering document of the following: [insert a brief description of the Maintenance services];
- *Tender Price:* The total price of our Tender, excluding any discounts offered in item (f) below is: [Insert one of the options below as appropriate]

*Option 1*, In case of one lot: Total price is: *[insert the total price of the Tender in words and figures, indicating the various amounts and the respective currencies]*;

Or

Option 2, in case of multiple lots: (a) Total price of each lot [insert the total price of each lot in words and figures, indicating the various amounts and the respective currencies]; and (b) Total price of all lots (sum of all lots) [insert the total price of all lots in words and figures, indicating the various amounts and the respective currencies];

- f) **Discounts:** The discounts offered and the methodology for their application are:
  - *i)* The discounts offered are: [Specify in detail each discount offered.]
  - ii) The exact method of calculations to determine the net price after application of discounts is shown below: [Specify in detail the method that shall be used to apply the discounts];

- g) **Tender Validity Period:** Our Tender shall be valid for the period specified in TDS 19.1 (as amended if applicable) from the date fixed for the Tender submission deadline (specified in TDS 23.1 (as amended if applicable), and it shall remain binding upon us and may be accepted at any time before the expiration of that period;
- h) **Performance Security:** If our Tender is accepted, we commit to obtain a Performance Security in accordance with the tendering document;
- i) One Tender Per Tenderer: We are not submitting any other Tender (s) as an individual Tenderer, and we are not participating in any other Tender (s) as a Joint Venture member or as a subcontractor, and meet the requirements of ITT 4.3, other than alternative Tenders submitted in accordance with ITT 14;
- j) **Suspension and Debarment**: We, along with any of our subcontractors, suppliers, consultants, manufacturers, or service providers for any part of the contract, are not subject to, and not controlled by any entity or individual that is subject to, a temporary suspension or a debarment imposed by the PPRA. Further, we are not ineligible under Kenya's official regulations or pursuant to a decision of the United Nations Security Council;
- *State-owned enterprise or institution*: [select the appropriate option and delete the other] [We are not a state-owned enterprise or institution]/[We are a state-owned enterprise or institution but meet the requirements of ITT 4.6];
- l) Commissions, gratuities and fees: We have paid, or will pay the following commissions, gratuities, or fees with respect to the Tendering process or execution of the Contract: [insert complete name of each Recipient, its full address, the reason for which each commission or gratuity was paid and the amount and currency of each such commission or gratuity].

Name of Recipient	Address	Reason	Amount

(If none has been paid or is to be paid, indicate "none.")

[Delete if not appropriate, or amend to suit] We confirm that we understand the provisions relating to Standstill Period as described in this tendering document and the Procurement Regulations.

- m) **Binding Contract**: We understand that this Tender, together with your written acceptance thereof included in your Form of Acceptance, shall constitute a binding contract between us, until a formal contract is prepared and executed;
- n) **Not Bound to Accept:** We understand that you are not bound to accept the lowest evaluated cost Tender, the Most Advantageous Tender or any other Tender that you may receive; and
- o) **Fraud and Corruption:** We hereby certify that we have taken steps to ensure that no person acting for us or on our behalf engages in any type of Fraud and Corruption.
- p) Collusive practices: We hereby certify and confirm that the tender is genuine, non-collusive and made with the intention of accepting the contract if awarded. To this effect we have signed the "Certificate of Independent Tender Determination" attached below.
- q) **Code of Ethical Conduct:** We undertake to adhere by the Code of Ethical Conduct for Suppliers, Contractors and Service Providers, copy available from \_\_\_\_\_\_\_\_\_(specify website) during the procurement process and the execution of any resulting contract.
- r) **Beneficial Ownership Information:** We commit to provide to the procuring entity the Beneficial Ownership Information in conformity with the Beneficial Ownership Disclosure Form upon receipt of notification of intention to enter into a contract in the event we are the successful tenderer in this subject procurement proceeding.
- s) We, the Tenderer, have duly completed, signed and stamped the following Forms as part of our Tender:
  - a) Tenderer's Eligibility; Confidential Business Questionnaire to establish we are not in any conflict to interest.
  - b) Certificate of Independent Tender Determination to declare that we completed the tender without colluding with other tenderers.

c) Self-Declaration of the Tenderer- to declare that we will, if awarded a contract, not engage in any form of fraud and corruption.

Further, we confirm that we have read and understood the full content and scope of fraud and corruption as informed in "Appendix 1- Fraud and Corruption" attached to the Form of Tender.

Name of the Tenderer: *[insert complete name of person signing the Tender]
Name of the person duly authorized to sign the Tender on behalf of the Tenderer:**[insert complete name of person duly authorized to sign the Tender]
Title of the person signing the Tender: [insert complete title of the person signing the Tender] Signature of
the person named above:[insert signature of person whose name and capacity are shown above] Date
signed[insert date of signing] day of[insert month], [insert year]

# i) TENDERER'S ELIGIBILITY - CONFIDENTIAL BUSINESS QUESTIONNAIRE

#### Instruction to Tenderer

Tender is instructed to complete the particulars required in this Form, *one form for each entity if Tender is a JV*. Tenderer is further reminded that it is an offence to give false information on this Form.

#### a) Tenderer's details

	ITEM	DESCRIPTION	
1	Name of the Procuring Entity	Kaimosi Friends University College	
2	Reference Number of the Tender	KAFUCO/CS /004/2020-2021	
3	Date and Time of Tender Opening	31st May,2021. At 10.00am	
4	Name of the Tenderer	Provision of Cleaning Services	
5	Full Address and Contact Details of the Tenderer.	<ol> <li>Country</li> <li>City</li> <li>Location</li> <li>Building</li> <li>Floor</li> <li>Postal Address</li> <li>Name and email of contact person.</li> </ol>	
6	Current Trade License Registration Number and Expiring date		
7	Name, country and full address (postal and physical addresses, email, and telephone number) of Registering Body/Agency		
8	Description of Nature of Business		
9	Maximum value of business which the Tenderer handles.		
10	State if Tenders Company is listed in stock exchange, give name and full address (postal and physical addresses, email, and telephone number) of state which stock exchange	M B E E	

#### **General and Specific Details**

a)	<b>Sole Proprietor,</b> provide the following details.		
	Name in full	Age	
	Nationality	Country of Origin	
	Citizenshin		

© **Partnership,** provide the following details.

				Citizensl	nip	
1						
2						
3						
	I					
d)	Reg	gistered Company, provide t	he following details			
	i)	Private or public Company				
	ii)	State the nominal and issued	d capital of the Com	pany-		
		Nominal Kenya Shillings (E	Equivalent)			
		Issued Kenya Shillings (Equ	uivalent)	• • • • • • • • • • • • • • • • • • • •		
	iii)	Give details of Directors as	follows.			
1						
2						
e)	DIS	SCLOSURE OF INTEREST	Γ-Interest of the Fo	rm in KAl	FUCO .	
	DIS	Are there any person/person has/have an interest or relations. If yes, provide details as follows:	onship in this firm?	(1	Name of KA	
		Are there any person/person has/have an interest or relati	onship in this firm?	(1	Name of KA	
		Are there any person/person has/have an interest or relati	onship in this firm?	(1	Name of KA	
e) 1 2		Are there any person/person has/have an interest or relati	onship in this firm?	(1	Name of KA	
<b>e</b> )		Are there any person/person has/have an interest or relati	onship in this firm?	(1	Name of KA	
e)  1 2 3	i)	Are there any person/person has/have an interest or relati	onship in this firm?	(1	Name of KA	
e)  1 2 3	i)	Are there any person/person has/have an interest or relations.  If yes, provide details as follows:	onship in this firm?	(1	Name of KA	
e)  1 2 3	i)	Are there any person/person has/have an interest or relations.  If yes, provide details as follows:	onship in this firm?	(1	Name of KA	
e)  1 2 3	i)	Are there any person/person has/have an interest or relations.  If yes, provide details as follows:	onship in this firm?	(1	Name of KA	
e)  1 2 3	i)	Are there any person/person has/have an interest or relations.  If yes, provide details as follows:	onship in this firm?	(1	Name of KA	
e)  1 2 3  ii) (	i)	Are there any person/person has/have an interest or relations.  If yes, provide details as follows:	onship in this firm?	(1	Name of KA	

5  6  7  8  8  9  On behalf of the Tenderer, I certify that the information given above is complete, current and accurate as at the date of submission. Full Name Title or Designation						
7  8  9  Certification  On behalf of the Tenderer, I certify that the information given above is complete, current and accurate as at the date of submission.  Full Name_	4					
7  8  9  Certification  On behalf of the Tenderer, I certify that the information given above is complete, current and accurate as at the date of submission.  Full Name_						
7  8  9  Certification  On behalf of the Tenderer, I certify that the information given above is complete, current and accurate as at the date of submission.  Full Name_						
7  8  9  Certification  On behalf of the Tenderer, I certify that the information given above is complete, current and accurate as at the date of submission.  Full Name_						
7  8  9  Certification  On behalf of the Tenderer, I certify that the information given above is complete, current and accurate as at the date of submission.  Full Name	5					
7  8  9  Certification  On behalf of the Tenderer, I certify that the information given above is complete, current and accurate as at the date of submission.  Full Name						
7  8  9  Certification  On behalf of the Tenderer, I certify that the information given above is complete, current and accurate as at the date of submission.  Full Name						
f) Certification On behalf of the Tenderer, I certify that the information given above is complete, current and accurate as at the date of submission.  Full Name	6					
f) Certification On behalf of the Tenderer, I certify that the information given above is complete, current and accurate as at the date of submission.  Full Name						
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f) Certification  On behalf of the Tenderer, I certify that the information given above is complete, current and accurate as at the date of submission.  Full Name	7					
f) Certification  On behalf of the Tenderer, I certify that the information given above is complete, current and accurate as at the date of submission.  Full Name						
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f) Certification  On behalf of the Tenderer, I certify that the information given above is complete, current and accurate as at the date of submission.  Full Name						
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On behalf of the Tenderer, I certify that the information given above is complete, current and accurate as at the date of submission.  Full Name	<b>A</b>					
at the date of submission.  Full Name		() Certification				
		On behalf of the Tenderer, I certify that the information given above is complete, current and accurate as at the date of submission.				
Title or Designation		Full Name				
		Title or Designation				
(Signature) (Date)		(Signature)		(Date)		

# ii) CERTIFICATE OF INDEPENDENT TENDER DETERMINATION

		rsigned, in submitting the accompanying Letter of Tender to the		
		[Name and number of tender] in response to the request for tenders made		
by:_		[Name of Tenderer] do hereby make the following statements that be true and complete in every respect:		
certi	fy to b	be true and complete in every respect:		
I cer	tify, o	n behalf of[Name of Tenderer] that		
1.	I ha	ve read and I understand the contents of this Certificate;		
2.	I understand that the Tender will be disqualified if this Certificate is found not to be true and complete in every respect;			
3.		the authorized representative of the Tenderer with authority to sign this Certificate, and to submit the der on behalf of the Tenderer;		
4.	indi	the purposes of this Certificate and the Tender, I understand that the word "competitor" shall include any vidual or organization, other than the Tenderer, whether or not affiliated with the Tenderer, who:		
	a)	Has been requested to submit a Tender in response to this request for tenders;		
	b)	could potentially submit a tender in response to this request for tenders, based on their qualifications, abilities or experience;		
5.	The	Tenderer discloses that [check one of the following, a s applicable]:		
	a)	The Tenderer has arrived at the Tender independently from, and without consultation, communication, agreement or arrangement with, any competitor;		
	b)	the Tenderer has entered into consultations, communications, agreements or arrangements with one or more competitors regarding this request for tenders, and the Tenderer discloses, in the attached document(s), complete details thereof, including the names of the competitors and the nature of, and reasons for, such consultations, communications, agreements or arrangements;		
6.		articular, without limiting the generality of paragraphs(5)(a) or (5)(b) above, there has been no sultation, communication, agreement or arrangement with any competitor regarding:		
	a)	prices;		
	b)	methods, factors or formulas used to calculate prices;		
	c)	the intention or decision to submit, or not to submit, a tender; or		
	d)	the submission of a tender which does not meet the specifications of the request for Tenders; except as specifically disclosed pursuant to paragraph (5) (b) above;		
7.	In addition, there has been no consultation, communication, agreement or arrangement with any competitor regarding the quality, quantity, specifications or delivery particulars of the works or services to which this request for tenders relates, except as specifically authorized by the procuring authority or as specifically disclosed pursuant to paragraph (5)(b) above;			
8.	indi Cor	terms of the Tender have not been, and will not be, knowingly disclosed by the Tenderer, directly of rectly, to any competitor, prior to the date and time of the official tender opening, or of the awarding of the stract, which ever comes first, unless otherwise required by law or as specifically disclosed pursuant to agraph (5) (b) above.		
	Nan	ne		
	Title	e		
	Date			
	 [Na	me, title and signature of authorized agent of Tenderer and Date]		

# iii) SELF-DECLARATION FORMS

#### FORM SD1

# SELF DECLARATION THAT THE PERSON/TENDERER IS NOT DEBARRED IN THE MATTER OF THE PUBLIC PROCUREMENT AND ASSET DISPOSAL ACT 2015

		, of Post Office Box		
1.		retary/ Chief Executive/ Managing Direction (insert name of the Compan	•	
	<b>No.</b> for	ame of KAFUCO) and duly authorized	(insert tender title/description) for	
2.	THAT the aforesaid Bidder, in procurement proceeding under	es Directors and subcontractors have not er Part IV of the Act.	been debarred from participating in	
3.	THAT what is deponed to herein above is true to the best of my knowledge, information and belief.			
	(Title)	(Signature)	(Date)	
	Bidder Official Stamp			

#### FORM SD2

# SELF DECLARATION THAT THE PERSON/TENDERER WILL NOT ENGAGE IN ANY CORRUPT OR FRAUDULENT PRACTICE

	of P. O	O. Box	being a	a resident of
	in the Repub	lic of	do hereby mal	ke a statement as follows:-
Т	THAT I am the Chief Executive/Mana	ging Director/Principal	l Officer/Director of	·
	(insert	t name of the Company)	who is a Bidder in res	spect of Tender No.
	for	(insert tender title/de	escription) for	(insert name of
7	THE KAFUCO ) and duly authorized and	d competent to make this	s statement.	
	THAT the aforesaid Bidder, its servant raudulent practice and has not been	_		
	Management, Staff and/or employees an KAFUCO.	d/or agents of	(insert na	ne of KAFUCO) which
	THAT the aforesaid Bidder, its servants a	•		•
	nember of the Board, Management, State (AFUCO)	ir and/or employees and/	or agents of	(name of E
Г	HAT the aforesaid Bidder will not enga	age /has not engaged in a	ny corrosive practice	with other bidders
p	articipating in the subject tender			
Т	HAT what is deponed to here in above	is true to the best of my	knowledge informatio	on and belief.
•	Γitle)	(Signature)	)	(Date)

# DECLARATION AND COMMITMENT TO THE CODE OF ETHICS

I,	(person) on behalf of (Name of the
Business/ Company/Firm)	declare that I
have read and fully understood the contents of the Public Procurement $\&\ {\it A}$	Asset Disposal Act, 2015, Regulations and
the Code of Ethics for persons participating in Public Procurement and As	set Disposal and my responsibilities under
the Code.	
I do hereby commit to abide by the provisions of the Code of Ethics for po	ersons participating in Public Procurement
and Asset Disposal.	
Name of Authorized signatory	
•	
Sign	
Position.	
Office address	
E-mail	
Name of the Firm/Company	
Date	
(Comment Coall Double of Change of the Coall	
(Company Seal/ Rubber Stamp where applicable)	
Witness	
Name	
Sign	
Date	

#### iv) APPENDIX1-FRAUDANDCORRUPTION

(Appendix 1 shall not be modified)

#### 1. Purpose

1.1 The Government of Kenya's Anti-Corruption and Economic Crime laws and their sanction's policies and procedures, Public Procurement and Asset Disposal Act (no. 33 of 2015) and its Regulation, and any other Kenya's Acts or Regulations related to Fraud and Corruption, and similar offences, shall apply with respect to Public Procurement Processes and Contracts that are governed by the laws of Kenya.

#### 2. Requirements

- 2.1 The Government of Kenya requires that all parties including Procuring Entities, Tenderers, (applicants/proposers), Consultants, Contractors and Suppliers; any Sub-contractors, Sub-consultants, Service providers or Suppliers; any Agents (whether declared or not); and any of their Personnel, involved and engaged in procurement under Kenya's Laws and Regulation, observe the highest standard of ethics during the procurement process, selection and contract execution of all contracts, and refrain from Fraud and Corruption and fully comply with Kenya's laws and Regulations as per paragraphs 1.1above.
- 2.2 Kenya's public procurement and asset disposal act (no. 33 of 2015) under Section 66 describes rules to be followed and actions to be taken in dealing with Corrupt, Coercive, Obstructive, Collusive or Fraudulent practices, and Conflicts of Interest in procurement including consequences for offences committed. A few of the provisions noted be low highlight Kenya's policy of no tolerance for such practices and behavior:
  - 1) A person to whom this Act applies shall not be involved in any corrupt, coercive, obstructive, collusive or fraudulent practice; or conflicts of interest in any procurement or asset disposal proceeding;
  - 2) A person referred to under sub section (1) who contravenes the provisions of that sub-section commits an offence;
  - 3) Without limiting the generality of the subsection (1) and (2), the person shall be:
    - a) disqualified from entering into a contract for a procurement or asset disposal proceeding; or
    - b) if a contract has already been entered into with the person, the contract shall be voidable;
  - 4) The voiding of a contract by KAFUCO under subsection (7) does not limit any legal remedy KAFUCO may have;
- **3.** An employee or agent of KAFUCO or a member of the Board or committee of KAFUCO who has a conflict of interest with respect to a procurement:
  - a) Shall not take part in the procurement proceedings;
  - b) shall not, after a procurement contract has been entered into, take part in any decision relating to the procurement or contract; and
  - c) Shall not be a subcontractor for the tender to whom was awarded contract, or a member of the group of tenders to whom the contract was awarded, but the subcontractor appointed shall meet all the requirements of this Act.
- 4. An employee, agent or member described in subsection (1) who refrains from doing anything prohibited under that subsection, but for that subsection, would have been within his or her duties shall disclose the conflict of interest to KAFUCO;
- 4.1 If a person contravenes subsection (1) with respect to a conflict of interest described in subsection (5) (a) and the contract is awarded to the person or his relative or to another person in whom one of them had a direct or indirect pecuniary interest, the contract shall be terminated and all costs incurred by the public entity shall be made good by the a warding officer. etc.

In compliance with Kenya's laws, regulations and policies mentioned above, KAFUCO:

- a) Defines broadly, for the purposes of the above provisions, the terms set forth below as follows:
  - i) "corrupt practice" is the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence improperly the actions of another party;

- ii) "fraudulent practice" is any act or omission, including misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain financial or other benefit or to avoid an obligation;
- iii) "collusive practice" is an arrangement between two or more parties designed to achieve an improper purpose, including to influence improperly the actions of another party;
- iv) "coercive practice" is impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party to influence improperly the actions of a party;
- v) "obstructive practice" is:
  - a) deliberately destroying, falsifying, altering, or concealing of evidence material to the investigation or making false statements to investigators in order to materially impede investigation by Public Procurement Regulatory Authority (PPRA) or any other appropriate authority appointed by Government of Kenya into allegations of a corrupt, fraudulent, coercive, or collusive practice; and/or threatening, harassing, or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation; or
  - b) acts intended to materially impede the exercise of the PPRA's or the appointed authority's inspection and audit rights provided for under paragraph 2.3e. below.
  - c) Defines more specifically, in accordance with the above procurement Act provisions set forth for fraudulent and collusive practices as follows:
    - "fraudulent practice" includes a misrepresentation of fact in order to influence a procurement or disposal process or the exercise of a contract to the detriment of THE KAFUCO or the tenderer or the contractor, and includes collusive practices amongst tenderers prior to or after tender submission designed to establish tender prices at artificial non-competitive levels and to deprive he KAFUCO of the benefits of free and open competition.
  - c) Rejects a proposal for award of a contract if PPRA determines that the firm or individual recommended for award, any of its personnel, or its agents, or its sub-consultants, sub-contractors, service providers, suppliers and/ or their employees, has, directly or indirectly, engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices in competing for the contract in question;
  - d) Pursuant to the Kenya's above stated Acts and Regulations, may sanction or recommend to appropriate authority(ies) for sanctioning and debarment of a firm or individual, as applicable under the Act sand Regulations;
  - e) Requires that a clause be included in Tender documents and Request for Proposal documents requiring (i) Tenderers (applicants/proposers), Consultants, Contractors, and Suppliers, and their Sub-contractors, Sub-consultants, Service providers, Suppliers, Agents personnel, permit the PPRA or any other appropriate authority appointed by Government of Kenya to inspect<sup>2</sup>all accounts, records and other documents relating to the procurement process, selection and/or contract execution, and to have them audited by auditors appointed by the PPRA or any other appropriate authority appointed by Government of Kenya; and
  - f) Pursuant to Section 62 of the above Act, requires Applicants/Tenderers to submit along with their Applications/Tenders/Proposals a "Self-Declaration Form" as included in the procurement document declaring that they and all parties involved in the procurement process and contract execution have not engaged/will not engage in any corrupt or fraudulent practices.

<sup>&</sup>lt;sup>1</sup>For the avoidance of doubt, a party's ineligibility to be awarded a contract shall include, without limitation, (i) applying for pre-qualification, expressing interest in

A consultancy, and rendering, either directly or as a nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider, in respect of such contract, and (ii) entering into an addendum or amendment introducing a material modification to any existing contract.

<sup>&</sup>lt;sup>2</sup> Inspections in this context usually are investigative (i.e., forensic) in nature. They involve fact-finding activities undertaken by the Investigating Authority or persons appointed by THE KAFUCO to address specific matters related to investigations/audits, such as evaluating the veracity of an allegation of possible Fraud and Corruption, through the appropriate mechanisms. Such activity includes but is not limited to: accessing and examining a firm's or individual's financial records and information, and making copies thereof as relevant; accessing and examining any other documents, data and information (whether in hard copy or electronic format) deemed relevant for the investigation/audit, and making copies there of as relevant; interviewing staff and other relevant individuals; performing physical inspections and site visits; and obtaining third party verification of information.

# 2. TENDERER INFORMATIONFORM

	ne Tenderer shall fill in this Form in accordance with the instructions indicated below. No alterations to its forn Ill be permitted and no substitutions shall be accepted.]	ıat
Dat	te:[insert date (as day, month and year) of Tender submission]	
ITT	Γ No.:[insert number of Tendering process]	
Alte	ernative No:	
1.	Tenderer's Name:[insert Tenderer's legal name]	
2	In case of JV, legal name of each member:	er
3.	Tenderer's actual or intended country of registration:	
4.	Tenderer's year of registration:[insert Tenderer's year of registration]	on]
5.	Tenderer's Address in country of registration:	SS
6.	Tenderer's Authorized Representative Information	
	Name:[insert Authorized Representative's name]	
	Address[insert Authorized Representative's Address]	
	Telephone: [insert Authorized Representative's telephone/fax numbers]	
	Email Address: [insert Authorized Representative's email address]	
7.	Attached are copies of original documents of	
	☐ Articles of Incorporation (or equivalent documents of constitution or association), and/or documents of registration of the legal entity named above, in accordance with ITT 4.4.	
	☐ In case of JV, Form of intent to form JV or JV agreement, in accordance with ITT 4.1.	
	In case of state-owned enterprise or institution, in accordance with ITT4.6 documents	
	establishing:	
	i) Legal and financial autonomy	
	ii) Operation under commercial law	
	iii) Establishing that the Tenderer is not under the supervision of the agency of THE KAFUCO	
	☐ A current tax clearance certificate or tax exemption certificate in case of Kenyan tenderers issued by the Kenya Revenue Authority in accordance with ITT 4.14.	;
8.	Included are the organizational chart, a list of Board of Directors, and the beneficial ownership.	

#### **OTHER FORMS**

# 3. <u>TENDERER'SJVMEMBERSINFORMATIONFORM</u>

[The Tenderers hall fill in this Form in accordance with the instructions indicated below. The following table shall be filled in for the Tenderer and for each member of a Joint Venture]].

Date:	[insert date (as day, month and year) of Tender submission]
ITT No.:	[insert number of Tendering process]
Alternative No.:	[insert identification No if this is a Tender for an alternative]
1	
2	
3.	
5.	
8.	

# 4. FORM OF TENDER SECURITY - DEMAND BANK GUARANTEE Beneficiary: Request for Tenders No: TENDER GUARANTEE No.: **Guarantor:** We have been informed that \_\_\_\_\_ (hereinafter called" the Applicant") has submitted or will submit to the Beneficiary its Tender (herein after called" the Tender") for the execution of 1. \_\_\_\_\_Under Request for Tenders No.\_\_\_\_\_\_("the ITT"). Furthermore, we understand that, according to the Beneficiary's conditions, Tenders must be supported by a 2. Tender guarantee. 3. At the request of the Applicant, we, as Guarantor, hereby irrevocably undertake to pay the Beneficiary any sum or sums not exceeding in total an amount of \_\_\_\_\_(\_\_\_\_) upon receipt by us of the Beneficiary's complying demand, supported by the Beneficiary's statement, whether in the demand itself or a separate signed document accompanying or identifying the demand, stating that either the Applicant: Has withdrawn its Tender during the period of Tender validity set forth in the Applicant's Letter of Tender ("the Tender Validity Period"), or any extension there to provide by the Applicant; or Having been notified of the acceptance of its Tender by the Beneficiary during the Tender Validity Period b) or any extension thereto provided by the Applicant, (i) has failed to execute the contract agreement, or (ii) has failed to furnish the Performance. This guarantee will expire: (a) if the Applicant is the successful Tenderer, upon our receipt of copies of the 4. contract agreement signed by the Applicant and the Performance Security and, or (b) if the Applicant is not the successful Tenderer, upon the earlier of (i) our receipt of a copy of the Beneficiary's notification to the Applicant of the results of the Tendering process; or (ii) thirty days after the end of the Tender Validity Period. 5. Consequently, any demand for payment under this guarantee must be received by us at the office indicated above on or before that date.

[signature(s)]

# 5. FORM OF TENDER SECURITY (TENDER BOND)

[The	Suret	yshallfillinthisTenderBondForminacc	cordancewiththei	instructionsindicated.]		
BO	ND NO	D				
1.	BY THIS BOND			to transact business Surety"), are held and 'the Purchaser") in the sum, well and truly to		
2.	WH day (her	IEREAS the Principal has submitted of, 20, for rein after called the "Tender").	ted or will sub the supply of	mit a written Tender to the	Purchaser dated the . [name of Contract]	
3.	NO	W,THEREFORE,THECONDITION	OFTHISOBLIGA	ATIONissuchthatifthePrincipa	l:	
	a)	Has withdrawn its Tender during the ("the Tender Validity Period"), or a				
	b)	b) having been notified of the acceptance of its Tender by the Purchaser during the Tender Validity Period or any extension there to provide by the Principal; (i) failed to execute the Contract agreement; or (ii) has failed to furnish the Performance Security, in accordance with the Instructions to tenderers ("ITT") of the Purchaser's Tendering document.				
	Puro dem	n the Surety undertakes to immediate chaser's first written demand, withou hand the Purchaser shall state that the cifying which event(s) has occurred.	t the Purchaser h	aving to substantiate its demai	nd, provided that in its	
4.	day	Surety hereby agrees that its obligates after the date of expiration of the extension there to provide by the Pri	Гender Validity I			
5. IN TESTIMONY WHEREOF, the Principal and the Surety have caused th respective names thisday of20			to be executed in their			
	Prin Cor	ncipal:porate Seal (where appropriate)	-	Surety:		
	(Sig	gnature)		(Signature)		
	(Pri	inted name and title)		(Printed name and t	itle)	

# TENDER-SECURING DECLARATION FORM

[The	Bidder shall complete this Form in accordance with the instructions indicated]	
Date:	[insert date(as day, month and year) of Tender	
Subm	uission]	
Tend	er No.:[insert number of tendering process]	
То:	[insert complete name of	
Purci	haser] I/We, the undersigned, declare that:	
1.	I/We understand that, according to your conditions, bids must be supported by a Tender-Securing Declaration.	
2.	I/We accept that I / we will automatically be suspended from being eligible for tendering in any contract with the Purchaser for the period of time of [insert number of months or years] starting on [insert date], if we are is breach of our obligation (s) under the bid conditions, because we – (a) have withdrawn our tender during the period of tender validity specified by us in the Tendering Data Sheet; or (b) having been notified of the acceptance of our Bid by the Purchaser during the period of bid validity, (i) fail or refuse to execute the Contract, if required, or(ii) fail or refuse to furnish he Performance Security, in accordance with the instruction to tenders.	
3.	I/We understand that this Tender Securing Declaration shall expire if we are not the successful Tenderer(s), upon the earlier of:	
	a) Our receipt of a copy of your notification of the name of the successful Tenderer; or	
	b) thirty days after the expiration of our Tender.	
4.	$I/\ We\ understand\ that\ if\ I\ am\ /\ we\ are\ /\ in\ a\ Joint\ Venture,\ the\ Tender\ Securing\ Declaration\ must\ be\ in\ the\ name\ of\ the\ Joint\ Venture\ that\ submits\ the\ bid\ ,\ and\ the\ Joint\ Venture\ has\ not\ been\ legally\ constituted\ at\ the\ time\ of\ bidding,\ the\ Tender\ Securing\ Declaration\ shall\ be\ in\ the\ names\ of\ all\ future\ partners\ as\ named\ in\ the\ letter\ of\ intent.$	
	Signed:	
	Capacity / title (director or partner or sole proprietor, etc.)	
	Name:	
	Duly authorized to sign the bid for and on behalf of:[insert complete name of Tenderer]	
	Dated on	

Seal or stamp

# **QUALIFICATION FORMS**

# 6. FOREIGN TENDERERS 40% RULE

Pursuant to ITT 4.10, a foreign tenderer must complete this form to demonstrate that the tender fulfils this condition.

1				
2				
3				
4				
5				
6				
71				
2				
3				
5				
1				
2				
3				
[F]				
5				
F ha long 				
1				
2				
3				
F.				
5				
F.				
1				
2				
3				
F				
5				
6				
	To have get with coloring to this has no hard to be be.		To the map yet with halfmaning to (60) was not found a fine.	
	To the real part with selection 20 CT also NO for 6 No.	<u></u>		

# 7. FORM EQU EQUIPMENT

## 6. FORM EQU: EQUIPMENT

The Tenderer shall provide adequate information to demonstrate clearly that it has the capability to meet the requirements for the key equipment listed in Section III, Evaluation and Qualification Criteria. A separate Form shall be prepared for each item of equipment listed, or for alternative equipment proposed by the Tenderer.

	Name of manufacturer	Model and power rating	
	Capacity	Year of manufacture	
Current status	Current location		
Details of current commitments			
Source	Indicate source of the equipment		
Omit the follow	ving information for equipment owned by	y the Tenderer.	
Owner	Name of owner		
	Address of owner		
	F house of di-	The contract of the contract o	
	Telephone	Contact name and title	
	Fax	Telex	

#### 6. **FORM PER - 1**

#### Contractor's Representative and Key Personnel Schedule

Tenderers should provide the names and details of the suitably qualified Contractor's Representative and Key Personnel to perform the Contract. The data on their experience should be supplied using the Form PER-2 below for each candidate.

#### **Contractor' Representative and Key Personnel**

1.	Title of position: Contractor's Representative				
	Name of candidate:				
	Duration of appointment:	[insert the whole period (start and end dates) for which this position will be engaged]			
	Time commitment: for this position:	[insert the number of days/week/months/ that has been scheduled for this position]			
	Expected time schedule for this position:	[insert the expected time schedule for this position (e.g. attach high level Gantt chart]			
2.	Title of position: /_				
	Name of candidate				
	Duration of appointment:	[insert the whole period (start and end dates) for which this position will be engaged]			
	Time commitment: for this position:	[insert the number of days/week/months/ that has been scheduled for this position]			
	Expected time schedule for this position:	[insert the expected time schedule for this position (e.g. attach high level Gantt chart]			
3.	Title of position: /				
	Name of candidate:				
	Duration of appointment:	[insert the whole period (start and end dates) for which this position will be engaged]			
	Time commitment: for this position:	[insert the number of days/week/months/ that has been scheduled for this position]			
	Expected time schedule for this position:	[insert the expected time schedule for this position (e.g. attach high level Gantt chart]			
4.					
	Name of candidate:				
	Duration of appointment:	[insert the whole period (start and end dates) for which this position will be engaged]			
	Time commitment: for this position:	[insert the number of days/week/months/ that has been scheduled for this position]			

Expected time schedule for this position:		[insert the expected time schedule for this position (e.g. attach high level Gantt chart]	
5.	Title of position: [in.	sert title}	
	Name of candidate		
	Duration of appointment:	[insert the whole period (start and end dates) for which this position will be engaged]	
	Time commitment: for this position:	[insert the number of days /week/months/ that has been scheduled for this position]	
	Expected time schedule for this position:	[insert the expected time schedule for this position (e.g. attach high level Gantt chart]	

#### **7. FORM PER-2:**

Resume and Declaration - Contractor's Representative and Key Personnel.

Name of Tend	lerer	
Position [#1]:	[title of position from Form Pa	ER-1 ]
Personnel information	Name:	Date of birth:
	Address:	E-mail:
Details		
	Telephone:	Contact (manager / personnel officer):
	Fax:	
	Jobtitle:	Years with present Procuring Entity:

Summarize professional experience in reverse chronological order. Indicate particular technical and managerial experience relevant to the project.

Project	Role	Duration of involvement	Relevant experience
[main project details]	[role and responsibilities on the project]	[time in role]	[describe the experience relevant to this position]

#### **DECLARATION**

#### **DECLARATION**

I, the undersigned......[insert either "Contractor's Representative" or "Key Personnel" as applicable], certify that to the best of my knowledge and belief, the information contained in this Form PER-2 correctly describes myself, my qualifications and my experience.

I confirm that I am available as certified in the following table and throughout the expected time schedule for this position as provided in the Tender:-

Commitment	Details
Commitment to duration of contract:	[insert period (start and end dates) for which this Contractor's Representative or Key Personnel is available to work on this contract]
Time commitment:	[insert period (start and end dates) for which this Contractor's Representative or Key Personnel is available to work on this contract]

I understand that any misrepresentation or omission in this Form may:

- a) be taken into consideration during Tender evaluation;
- b) result in my disqualification from participating in the Tender;
- c) result in my dismissal from the contract.

Name of Contractor's Representative or Key Personnel:	_[insert name]
Signature:	
Date: (day month year):	
Countersignature of authorized representative of the Tenderer:	
Signature: Date: (day month year):	

# TENDERERS QUALIFICATION WITHOUT PRE-QUALIFICATION

To establish its qualifications to perform the contract in accordance with Section III, Evaluation and Qualification Criteria the Tenderer shall provide the information requested in the corresponding Information Sheets included hereunder.

10 To establish its qualifications to perform the contract in accordance with Section III, Evaluation and Qualification Criteria the Tenderer shall provide the information requested in the corresponding Information Sheets included hereunder.

#### 10 FORM ELI -1.1

Tenderer Information
Form
Date:
ITT No. and title:
Tenderer's name
In case of Joint Venture (JV), name of each member:
Tenderer's actual or intended country of registration:  [indicate country of Constitution]
Tenderer's actual or intended year of incorporation:
Tenderer's legal address [in country of registration]:
Tenderer's authorized representative information Name: Address:
Telephone/Faxnumbers: E-mailaddress:
<ul> <li>1.Attached are copies of original documents of</li> <li>□ Articles of Incorporation (or equivalent documents of constitution or association), and/or documents of registration of the legal entity named above, in accordance with ITT 4.4</li> <li>□ In case of JV, letter of intent to form JV or JV agreement, in accordance with ITT 4.1</li> <li>□ In case of state-owned enterprise or institution, in accordance with ITT 4.6, documents establishing:</li> </ul>
2. Included are the organizational chart, a list of Board of Directors, and the beneficial ownership.

# 11. FORM ELI -1.2

## 12. **FORM CON –2**

## **Historical Contract Non-Performance, Pending Litigation and Litigation History**

Year of dispute	Amount in dispute (currency)	Contract Identification	Total Contract Amount (currency), Kenya Shilling Equivalent (exchange rate)
		Contract Identification:	
		Name of KAFUCO:	
		Address of KAFUCO:	
		Matter in dispute:	
		Party who initiated the dispute:	
		Status of dispute:	
		Contract Identification:	
		Name of KAFUCO:	
		Address of KAFUCO:	
		Matter in dispute:	
		Party who initiated the dispute:	
		Status of dispute:	

Litigation History in accordance with Section III, Evaluation and Qualification Criteria

- **D** No Litigation History in accordance with Section III, Evaluation and Qualification Criteria, Sub-Factor 2.4.
- **D** Litigation History in accordance with Section III, Evaluation and Qualification Criteria, Sub-Factor 2.4 as indicated below.

Year of award	Outcome as percentage of Net Worth	Contract Identification	Total Contract Amount (currency), Kenya Shilling Equivalent (exchange rate)
[insert year]	[insert percentage]	Contract Identification: [indicate complete contract name, number, and any other identification]  Name of KAFUCO: [insert full name]  Address of KAFUCO: [insert street/city/country  Matter in dispute: [indicate main issues in dispute]  Party who initiated the dispute: [indicate "KAFUCO" or "Contractor"] Reason(s) for Litigation and award decision [indicate main reason(s)]	[insert amount]

# 13. FORM FIN – 3.1: FORM FIN – 3.1:

# Financial Situation and Performance

Tenderer's Name:					
Date:					
JV Member's Name					
ITT No	<b>).</b>		and		title:
Financial Data		nformation fo			
Type of Financial information in					
(currency)	Year 1	Year 2	Year 3	Year 4	Year 5
Statement of Financial Position (I	Information f	From Balance S	Sheet)		
Total Assets (TA)					
Total Liabilities (TL)					
Total Equity/Net Worth (NW)					
Current Assets (CA)					
Current Liabilities (CL)					
Working Capital (WC)					
Information from Income Stateme	ent				
Total Revenue (TR)					
Profits Before Taxes (PBT)					
Cash Flow Information					
Cash Flow from Operating Activities					

#### **Sources of Finance**

Specify	sources of finance to	meet the cash flow	requirements on	works currently	in progress and	for future contract
commit	tments.					

The make pay in the second of	(F An many and admissed drift was when to the	
1		
2		
3		

#### **Financial documents**

- a) reflect the financial situation of the Tenderer or in case of JV member, and not an affiliated entity (such as parent company or group member).
- b) Be independently audited or certified in accordance with local legislation.
- c) Be complete, including all notes to the financial statements.
- d) Correspond to accounting periods already completed and audited.

<sup>&</sup>lt;sup>2</sup>If the most recent set of financial statements is for a period earlier than 12 months from the date of Tender, the reason for this should be justified.

#### 15. **FORMFIN-3.4**:

# Average Annual Construction Turnover Tenderer's Name: Date: JV Member's Name ITT No. and title:

Annual turnover data (construction only)					
Year	Amount Currency	Exchange rate	Kenya Shilling equivalent		
[indicate year]	[insert amount and indicate currency]				
Average Annual Construction Turnover *					

### FORM FIN-3.3:

#### Financial Resources

15.

Specify proposed sources of financing, such as liquid assets, unencumbered real assets, lines of credit, and other financial means, net of current commitments, available to meet the total construction cash flow demands of the subject contractor contracts as specified in Section III, Evaluation and Qualification Criteria.

Financial Resources				
No.	Source of financing	Amount (Kenya Shilling equivalent)		
1				
2				
3				

#### **Current Contract Commitments / Works in Progress**

#### Current Contract Commitments / Works in Progress

Tenderers and each member to a JV should provide information on their current commitments on all contracts that have been awarded, or for which a letter of intent or acceptance has been received, or for contracts approaching completion, but for which an unqualified, full completion certificate has yet to be issued.

No.	Name of Contract	Procuring Entity's Contact Address, Tel,	Valueof Outstanding Work [Current Kenya Shilling /month Equivalent]	Estimated Completion Date	Average Monthly Invoicing Over Last Six Months [Kenya Shilling /month)]
1					
2					
3					
4					
5					

# FORM EXP-4.1

# General Construction Experience

Tenderer's Name:		
Date:		
JV Member's Name		
ITT No. and title:		
	Page	of
	pages	

Starting Year	Ending Year	Contract Identification	Roleof Tenderer
		Contract name: Brief Description of the Works performed by the Tenderer: Amountofcontract: Nameof ProcuringEntity: Address:	
		Contract name: Brief Description of the Works performed by the Tenderer: Amountofcontract: Nameof ProcuringEntity: Address:	
		Contract name: Brief Description of the Works performed by the Tenderer: Amountofcontract: Nameof ProcuringEntity: Address:	

# 16. FORM EXP -4.2(a)

# **Specific Construction and Contract Management Experience**

# Specific Construction and Contract Management Experience

Tenderer's Name:				
Date:				
JV Member's Name				
ITT No. and title:				
Similar Contract No.	Informatio	on		
Contract Identification				
Award date				
Completion date				
Role in Contract	Prime Contractor	Member in JV	Management Contractor	Sub- contractor
Total Contract Amount	¥.		Kenya Shilling	
If member in a JV or sub-contractor, specify participation in total Contract amount	1 35°C			75
Procuring Entity's Name:				
Address: Telephone/fax number E-mail:				
Description of the similarity in accordance with Sub-Factor 4.2(a) of Section III:				
1. Amount				
2. Physical size of required works items				
3. Complexity	ARAI	VIBER		
4. Methods/Technology				
5.Construction rate for key activities				
6.Other Characteristics				

# **17.** FORMEXP-4.2(b)

# Construction Experience in Key Activities

Construction Experience in Key Activities						
Tenderer's Name:						
Date:					-	
Tenderer's JV Member Name:						
Sub-contractor's Name <sup>3</sup> (as perITT35):					_	
ITT No. and title:					_	
All Sub-contractors for key activities must confident to Evaluation and Qualification Criteria, Sub-Fall. Key Activity No One:	actor 4.2.			-	ГТ 34 an	d Section III,
	Informatio	n				
Contract Identification						
Award date						
Completion date						
Role in Contract	Prime Contractor	Men JV	nber in	Manager Contracto		Sub- contractor
Total Contract Amount		I		Kenya S	hilling	I
Quantity (Volume, number or rate of production, as applicable) performed under the contract per year or part of the year	(i)					
Year1						
Year 2						
Year 3						
Year 4						
Procuring Entity's Name:						
Address: Telephone/fax number E-mail:						

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3. .....

#### SECTION V – SCHEDULE OF REQUIREMENTS /TERMS OF REFERENCE

Description	Specifications / Model	Location
Stand by Generator	100 KVA, Diesel Generator	KAFUCO

#### SCOPE OF WORK Introduction

The contractor shall undertake to service and maintain backup power generator set as listed above.

Tasks to be undertaken in Preventive and Maintenance Program include;

- a) In the start of the contract carry out repair of all the components to acceptable health levels.
- b) On call support
- c) Replacing any faulty components
- d) Troubleshooting and offer support on all listed items
- e) Carrying out monthly / quarterly preventive maintenance
- f) Upgrading and patching software
- g) Making recommendations where necessary
- h) Regular monitoring, incident resolution and reporting as part of overall support and maintenance.
- i) Provision of a maintenance and support agreement/plan that adheres to the agreed SLA NOTE: HARDWARE TO BE SUPPORTED UNDER THIS SLA.

Replaceable Items MUST be stocked locally by the consultant for timely replacement when required

# 5.3 Definition of Service & Maintenance

The service and maintenance to be carried out on the equipment will be based on preventive maintenance and on-call support. The replacement of the following items will be replaced as part of routine maintenance quarterly and **shall not** be treated as spare parts: -

- 1) Air filters
- 2) Oil filters
- 3) Fuel filters
- 4) Fuel/water filter
- 5) Engine oil
- 6) Labor for servicing
- 7) Lubricating oil
- 8) Grease
- 9) Coolant

The Contractor undertakes to service and maintain backup power generating set at KAFUCO as listed above.

#### Preventive Service maintenance: -

The entire equipment will be checked four times yearly as per manufacturer's recommendations, this will be done every three (3) months (quarterly) interval from date of first service or after 250 hours running cumulatively whichever comes first.

The Generator shall be serviced as per the following service checklist and a signed copy shall be left on site.

#### 5.4 Generator Preventive Service Checklist

	Merator Preventive Service Checklist	Ch - al.
<u>No</u>	Activity	<u>Check</u>
1	Report to the center and notify the In-Charge Procurement and	
	communicate the intention to service equipment. Advise on the likely	
	duration and the effect on operations	
2	Ensure to change to all safety wear before proceeding to the	
	equipment room Isolate equipment (Mechanical/Electrical), as	
	applicable	
3	Drain engine oil	
4	Replace the oil filter	
5	Re-fill with fresh engine oil. Check to confirm level	
6	Clean Fuel filter. (Replace every 6months/every other service)	
7	Clean Air filter. (Replace every 6months/every other service)	
<u>No</u>	<u>Activity</u>	<u>Check</u>
8	Check for oil and fuel leaks in joints and tighten if necessary	
9	Check v-belt state and tightness. Confirm multi belt uniformity.	
	Replace all if necessary. Do not replace part	
10	Coolant level top up	
11	Every 6 months, drain Radiator, flash/clean and refill with coolant	
12	Clean and check all components in control panel especially	
	contactors, timers and relays, ensure neither loose contact nor wire.	
13	Check battery voltage	
14	Check earthing, check and cleaning of electrical panels and relays	
15	Inspect circuitry and Automatic Transfer Switch confirming that	
	all connections are firm and cables in good condition Clean the	
	generator externally	
16	Restore isolating equipment	
17	Stimulate power failure and /or phase failure and check good	
	running of the equipment and power per phase for generator set	
	with auto	
18	Check and ensure all gauges and meters are working	
19	Analyze and advice on condition of engine performance	
20	Fill a service card and attach it conspicuously	
21	Instruct staff member on routine daily checks for oil and Battery	
	voltage	
22	Prepare Service report. Specify any items that need follow up for	
	closure and have it signed and stamped by the dealer	
l		

Name of Technician Date Date Date
-----------------------------------

#### 5.5 Safety Note:

All service Technicians must be in safety gear before embarking on any work. They must ensure the safety of themselves and those in the station during the duration of service.

#### 5.6 On-Call Support

This involves attendance during contracted hours, upon request by the university college on the ned to carry out a repair of a defective or broken down equipment.

The request for repairs will be addressed to Contractor by Email, phone call, message or other agreed modes of communication.

#### SECTION VI SCHEDULE OF RATES AND PRICES

Tenderer must indicate the cost of routine service per center in Ksh

			Cost per Routine service	Annual Total
No	Description	Specifications / Model		
1.	Stand by Generator	100 KVA, Diesel Generator		
		Add 16% VAT		
TOTA VAT	L MAINTENANCE	COST PAR ANNUM (1YEAR) INCLUSIVE OF		

Name	Sign
	3
Date	

Stamp of tenderer

#### NOTES ON FINANCIAL PROPOSAL TO BE SUBMITTED

- i. The Cost to be Transferred to Form of Tender is the Annual (1 year) Maintenance Cost
- ii. The tender will shall be awarded at the total cost proposed.
- iii. The Financial Proposal with Arithmetic error or ones with items not clearly quoted for shall be rejected.
- iv. The Amount in the Price Schedule of Requirement and the Amount Transferred to the Form of Tender must correspond.
- v. The routine maintenance service visits are made after every 250 hours of generator run or Three (3) months or whichever comes earlier, while the emergency visits are made when there is a generator set breakdown. The hospital requires the successful bidder to provide support 24/7, as need arises.

# 5.2 **SUPPLY AND INSTALLATION OF SPARE PARTS VAT INCLUSIVE**

<u>ITEM</u>				
<u>NO.</u>	<u>DESCRIPTION</u>	UNIT	RATE	AMOUNT
1	Electric starter			
2	Thermostat seal			
3	Water temperature switch			
4	Thermostat			
5	Complete water pump			
6	Inlet valve			
7	Outlet valve			
8	Valve spring			
9	Fuel injector nozzle			
10	Fuel return gasket			
11	Injector seal			
12	Fan belt			
13	Top radiator hose			
14	Bottom radiator hose			
15	Piston rings set std			
16	Con rod bearing std			
17	Crankshaft bearing set std			
18	Valve guide			
19	Rocker cover gasket			
20	Complete fuel injector			

# 1. The Specifications and Priced Activity Schedules

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Name of Tenderer [signature of person signing the Tender] Date [insert date]

# 2. Work Plan

[KAFUCO shall provide main features of the work plan that the Tenderer should provide in the tender for carrying out the contract, from beginning to the end].

## 3. Other Time Schedule

(to be used by Tenderer when alternative Time for Completion is invited in ITT14.2)

#### 1. NOTIFICATION OF INTENTION TO AWARD

[This Notification of Intention to Award shall be sent to each Tenderer that submitted a Tender.] [Send this Notification to the Tenderer's Authorized Representative named in the Tenderer Information Form] For the attention of Tenderer's Authorized Representative Name: .....[insert Authorized Representative's name] Address: ......[insert Authorized Representative's Address] Telephone numbers: \_\_\_\_\_\_[insert Authorized Representative's telephone/fax numbers] Email Address: [insert Authorized Representative's email address] [IMPORTANT: insert the date that this Notification is transmitted to Tenderers. The Notification must be sent to all Tenderers simultaneously. This means on the same date and as close to the same time as possible.] **KAFUCO:** .....[insert the name of KAFUCO] Contract title:..... [insert the name of the contract] This Notification of Intention to Award (Notification) notifies you of our decision to award the above contract. The transmission of this Notification begins the Standstill Period. During the Standstill Period you may: a) Request a debriefing in relation to the evaluation of your Tender, and/or b) Submit a Procurement-related Complaint in relation to the decision to award the contract. The successful Tenderer Address: ii). Other Tenderers [INSTRUCTIONS: insert names of all Tenderers that submitted a Tender. If the Tender's price was evaluated include the evaluated price as well as the Tender price as read out.] П 7 П 77 Π 1 7 П 7 1

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## iii). How to request a debriefing

#### DEADLINE: The deadline to request a debriefing expires at midnight on [insert date] (local time).

You may request a debriefing in relation to the results of the evaluation of your Tender. If you decide to request a debriefing your written request must be made within three (3)Business Days of receipt of this Notification of Intention to Award.

Provide the contract name, reference number, name of the Tenderer, contact details; and address the request for debriefing as follows:

Attention: [insert full name of person, if applicable]

Title/position: [insert title/position]

Agency: [insert name of KAFUCO]

Email address: [insert email address]

If your request for a debriefing is received within the 3Business Days deadline, we will provide the debriefing within five (5) Business Days of receipt of your request. If we are unable to provide the debriefing within this period, the Standstill Period shall be extended by five (5) Business Days after the date that the debriefing is provided. If this happens, we will notify you and confirm the date that the extended Standstill Period will end.

The debriefing may be in writing, by phone, video conference call or in person. We shall promptly advise you in writing how the debriefing will take place and confirm the date and time.

If the deadline to request a debriefing has expired, you may still request a debriefing. In this case, we will provide the debriefing as soon as practicable, and normally no later than fifteen (15) Business Days from the date of publication of the Contract Award Notice.

## iv. How to make a complaint

## Period: Procurement-related Complaint challenging the decision to award shall be submitted by [insert date and time].

Provide the contract name, reference number, name of the Tenderer, contact details; and address the Procurement-related Complaint as follows:

 Attention:
 [insert full name of person, if applicable]

 Title/position:
 [insert title/position]

 Agency:
 [insert name of KAFUCO]

 Email address:
 [insert email address]

At this point in the procurement process, you may submit a Procurement-related Complaint challenging the decision to award the contract. You do not need to have requested, or received, a debriefing before making this complaint. Your complaint must be submitted within the Stand still Period and received by us before the Stand still Period ends. In summary, there are four essential requirements:

- 1. You must be an 'interested party'. In this case, that means a Tenderer who submitted a Tender in this tendering process, and is the recipient of a Notification of Intention to Award.
- 2 The complaint can only challenge the decision to award the contract.
- 3 You must submit the complaint within the period stated above.
- 4. You must include, in your complaint, all of the information required to support the complaint.
- 5. The application must be accompanied by the fees set out in the Procurement Regulations, which shall not be refundable (information available from the Public Procurement Authority at <a href="mailto:info@ppra.go.ke">info@ppra.go.ke</a> or complaints@ppra.go.ke

## v). Standstill Period

Attachment: Contract

## **DEADLINE:** The Standstill Period is due to end at midnight on [insert date] (local time).

The Standstill Period lasts ten (10) Business Days after the date of transmission of this Notification of Intention to Award.

The Standstill Period may be extended as stated in Section 4 above. If you have any questions regarding this Notification please do not hesitate to contact us.

On behalf of KAFUCO:
Signature:
Name:
Title/position:
Telephone:
Email:
2. NOTIFICATIONOFAWARD-FORMOFACCEPTANCE
[Form head paper of THE KAFUCO ]
[date]
To:[name and address of the Service Provider]
This is to notify you that your Tender dated[date] forexecutionofthe[nameoftheContractandidentificationnumber, as given in the Special Conditions of Contract] for the Contract Price of the equivalent of [amount in numbers and words] [name of currency], as corrected and modified in accordance with the Instructions to Tenderers is hereby accepted by us (KAFUCO).
You are requested to furnish the Performance Security within 28days in accordance with the Conditions of Contract, using, for that purpose, one of the Performance Security Forms included in Section X, Contract Forms, of the tender document.
Please return the attached Contract dully signed
AuthorizedSignature:
Name and Title of Signatory:
Name of Agency:

## 3. FORM OF CONTRACT [Form

head paper of THE KAFUCO | LUMP

#### **SUM REMUNERATION**

This CONTRACT(herein after called the "Contract") is made the [day] day of the month of [month], [year], between, on the one hand, [name of KAFUCO] (herein after called "KAFUCO") and, on the other hand, [name of Service Provider] (hereinafter called the "Service Provider").

[Note: In the text below text in brackets is optional; all notes should be deleted in final text. If the Service Provider consist of more than one entity, the above should be partially amended to read as follows:"...(herein after called the "KAFUCO") and, on the other hand, a joint venture consisting of the following entities, each of which will be jointly and severally liable to THE KAFUCO for all the Service Provider's obligations under this Contract, namely, [name of Service Provider](herein after called the "Service Provider").]

#### WHEREAS

- a) THE KAFUCO has requested the Service Provider to provide certain Services as defined in the General Conditions of Contract attached to this Contract (herein after called the "Services");
- b) the Service Provider, having represented to KAFUCO that they have the required professional skills, and personnel and technical resources, have agreed to provide the Services on the terms and conditions set forth in this Contract at a contract price of.....;

NOW THEREFORE the parties hereto hereby agree as follows:

- 1. The following documents shall be deemed to form and be read and construed as part of this Agreement, and the priority of the documents shall be as follows:
  - a) The Form of Acceptance;
  - b) The Service Provider's Tender
  - c) The Special Conditions of Contract;
  - d) The General Conditions of Contract;
  - e) The Specifications;
  - f) The Priced Activity Schedule; and
  - g) The following Appendices: [Note: If any of these Appendices are not used, the words "Not Used" should be inserted below next to the title of the Appendix and on the sheet attached hereto carrying the title of that Appendix.]

Appendix A: Description of the Services Appendix B: Schedule of Payments Appendix C: Subcontractors Appendix D: Breakdown of Contract

Price

Appendix E: Services and Facilities Provided by KAFUCO

- 2. The mutual rights and obligations of KAFUCO and the Service Provider shall be as set forth in the Contract, in particular:
  - a) The Service Provider shall carry out the Services in accordance with the provisions of the Contract; and
  - b) KAFUCO shall make payments to the Service Provider in accordance with the provisions of the Contract.

INWITNESSWHERE OF, the Parties here to have caused this Contract to be signed in their respective nar	nes
as of the day and year first above written.	

For and on behalf of	[name of KAFUCO]
	[Authorized Representative]
For and on behalf of <i>Iname of Service Provider1</i>	

[Authorized Representative]
[Note: If the Service Provider consists of more than one entity, all these entities should appear as signatories e.g., in the following manner:]
For and on behalf of each of the Members of the Service Provider
[name of member]
[Authorized Representative]
[name of member]
[Authorized Representative]

## 4 FORM OF TENDER SECURITY (Bank Guarantee) [The bank shall fill in this

Bank Guarantee Form in accordance with the instructions indicated.] [Guarantor Form

head	l or SWIFT identifier	code]			
Bene	eficiary:	[KAFUCO to i	nsert its name and	address]	
	•	[KAFUCO to		_	or Tenders]
Alte	rnative No.:	[Insert ident	ification No if this	s a Tender for an	
alter	native] Date:	[	Insert date of issue	1	
TEN	DER GUARANTE	E No.:	[1	nsert guarantee refere	nce number]
Gua	rantor:	[Insert name and	l address of place o	f issue, unless indicate	ed in the Form head]
name of](h	e of the joint venti nereinafter called "the	hat[insert name of ure (whether legally contents and a e Applicant") has submitt cution ofunder Request	nstituted or prosp red or will submit t	ective) or the names to the Beneficiary its To	of all members there
	nermore, we understa antee.	and that, according to the	Beneficiary's cond	tions, Tenders must be	supported by a Tender
sums dema	s not exceeding in to and, supported by the	plicant, we, as Guarantor, tal an amount of(he Beneficiary's statement wing the demand, stating t	) up	on receipt by us of the demand itself or a sep	Beneficiary's complying
(a)		Tender during the period ty Period"), or any extens			nt's Form of Tender
(b)	any extension there	ed of the acceptance of it eto provided by the Applic rformance security, in a rring document.	cant, (i) has failed t	o sign the contract agre	eement, or (ii) has failed
agree agree	ementsignedbytheApement; or (b) if the A	e: (a) if the Applicant is to oplicantandtheperformance Applicant is not the succe to the Applicant of the re	esecurityissuedtoth ssful Tenderer, upo	e Beneficiary in rela n the earlier of (i) our	ntion to such Contract receipt of a copy of the
end o	of the Tender Validity	y Period.			
	sequently, any demanerate that date.	nd for payment under this	guarantee must be	received by us at the o	ffice indicated above on
This No. '	0	to the Uniform Rules for	Demand Guarante	es (URDG) 2010 Revis	sion, ICC Publication
[Sign	nature(s)]				

Note: All italicized text is for use in preparing this form and shall be deleted from the final product.

## 5 FORM OF TENDER SECURITY (TENDER BOND) [The Surety shall fill

in this Tender Bond Form in accordance with the instructions indicated.] BOND NO.

BY THIS BOND [name of Tenderer] as Principal (herein after called "the Principal"), and [name, legal title, and address of surety], authorized to transact business in Kenya, as Surety (hereinafter called "the Surety"), are held and firmly bound unto [name of KAFUCO] as Obligee (hereinafter called "KAFUCO") in the sum of [amount of Bond [amount in words], for the payment of which sum, well and truly to be made, we, the said Principal and Surety, bind ourselves, our successors and assigns, jointly and severally, firmly by these presents. WHERE AS the Principal has submitted or will submit a written Tender to KAFUCO dated the day of \_\_\_\_\_\_, 20\_\_\_\_\_, for the supply of [name of Contract] (herein after called the "Tender"). NOW, THEREFORE, THE CONDITION OF THIS OBLIGATION is such that if the Principal: c) has withdrawn its Tenderduring the period of Tender validity set for thin the Principal's Form of Tender ("the TenderValidityPeriod"),oranyextensiontheretoprovidedbythePrincipal;or d) having been notified of the acceptance of its Tender by KAFUCO during the Tender Validity Period or any extension there to provide by the Principal; (i) failed to execute the Contract agreement; or (ii) has failed to furnish the Performance Security, in accordance with the Instructions to Tenderers ("ITT") of KAFUCO 's tendering document. then the Surety undertakes to immediately pay to KAFUCO up to the above amount upon receipt of KAFUCO's first written demand, without THE KAFUCO having to substantiate its demand, provided that in its demand KAFUCO shall state that the demand arises from the occurrence of any of the above events, specifying which event(s) has occurred. The Surety hereby agrees that its obligation will remain in full force and effect up to and including the date 28 days ofter the date of expiration of the Tender Validity Period set forth in the Principal's Form of Tender or any extension

thereto provided by the Principal.	validity Period set forth in the Principal's Form of Tender of any extension
IN TESTIMONY WHERE OF, the Principrespective names this	ncipal and the Surety have caused these presents to be executed in the day of
Principal:Corporate Seal (where appropriate)	Surety:
(Signature)	(Signature)
(Printed name and title)	(Printed name and title)

## FORM OF TENDER-SECURING DECLARATION

[The Tenderer shall fill in this Form in accordance with the instructions indicated.]
Date:[date (as day, month and year)]
ITT No.:[number of Tendering process]
Alternative No: [insert identification No if this is a Tender for an alternative]
To:
We understand that, according to your conditions, Tenders must be supported by a Tender-Securing
Declaration.  We accept that we will automatically be suspended from being eligible for Tendering or submitting proposals in any contract with THE KAFUCO for the period of time of [number of months or years] starting on [date], if we are in breach four obligation(s) under the Tender conditions, because we:
a) Have withdrawn our Tender during the period of Tender validity specified in the Form of Tender; or
b) having been notified of the acceptance of our Tender by KAFUCO during the period of Tender validity, (i) fail to sign the Contract agreement; or (ii) fail or refuse to furnish the Performance Security, if required, in accordance with the ITT.
We understand this Tender Securing Declaration shall expire if we are not the successful Tenderer, upon the earlier of (i) our receipt of your notification to us of the name of the successful Tenderer; or (ii) twenty-eight days after the expiration of our Tender.
Name of the Tenderer*
Name of the person duly authorized to sign the Tender on behalf of the Tenderer**
Title of the person signing the Tender
Signature of the person named above
Date signedday of,
*: In the case of the Tender submitted by joint venture specify the name of the Joint Venture as Tenderer  **: Person signing the Tender shall have the power of attorney given by the Tenderer attached to the Tender

[Note: In case of a Joint Venture, the Tender-Securing Declaration must be in the name of all members to the Joint Venture that submits the Tender.

PART II – I	KAFUCO'S	S REQUIRE	MENTS

#### **SECTION VII - ACTIVITY SCHEDULE**

#### **Objectives**

The objectives of the Activity Schedule are

- a) to provide sufficient information on the quantities of Services to be performed to enable Tenders to be prepared efficiently and accurately; and
- b) when a Contract has been entered into, to provide a priced Activity Schedule for use in the periodic valuation of Services executed.

In order to attain these objectives, Services should be itemized in the Activity Schedule in sufficient detail to distinguish between the different classes of Services, or between Services of the same nature carried out in different locations or in other circumstances which may give rise to different considerations of cost. Consistent with these requirements, the layout and content of the Activity Schedule should be as simple and brief as possible.

#### **Day work Schedule**

A Day work Schedule should be included only if the probability of unforeseen work, outside the items included in the Activity Schedule, is high. To facilitate checking by THE KAFUCO of the realism of rates quoted by the Tenderers, the Day work Schedule should normally comprise the following:

- a) A list of the various classes of Services, labor, materials, and plant for which basic day work rates or prices are to be inserted by the Tenderer, together with a statement of the conditions under which the Service Provider will be paid for services delivered on a day work basis.
- b) Nominal quantities for each item of Day work, to be priced by each Tenderer at Day work rates as Tender. The rate to be entered by the Tenderer against each basic Day work item should include the Service Provider's profit, over heads, supervision, and other charges.

#### **Provisional Sums**

The estimated cost of specialized services to be carried out, or of special goods to be supplied, by other Service Providers should be indicated in the relevant part of the Activity Schedule as a particular provisional sum with an appropriate brief description. A separate procurement procedure is normally carried out by THE KAFUCO to select such specialized Service Providers. To provide an element of competition among the Tenderers in respect of any facilities, amenities, attendance, etc., to be provided by the successful Tenderer as prime Service Provider for the use and convenience of the specialist contractors, each related provisional sum should be followed by an item in the Activity Schedule inviting the Tenderer to quote a sum for such amenities, facilities, attendance, etc.

These Notes for Preparing an Activity Schedule are intended only as information for KAFUCO or the person drafting the tendering document. They should not be included in the final documents.

#### PERFORMANCE SPECIFICATIONS AND DRAWINGS

#### (Describe Outputs and Performances, rather than Inputs, wherever

#### possible) Notes on Specifications

A set of precise and clear specifications is a prerequisite for Tenderers to respond realistically and competitively to the requirements of KAFUCO without qualifying or conditioning their Tenders. In the context of international competitive Tendering, the specifications must be drafted to permit the widest possible competition and, at the same time, present a clear statement of the required standards of workmanship, materials, and performance of the goods and services to be procured. Only if this is done will the objectives of economy, efficiency, and fairness in procurement be realized, responsiveness of Tenders be ensured, and the subsequent task of Tender evaluation facilitated. The specifications should require that all goods and materials to be incorporated in the Services be new, unused, of the most recent or current models, and in corporate all recent improvements in design and materials unless provided otherwise in the Contract.

Samples of specifications from previous similar projects in the same country are useful in this respect. The use of metric units is encouraged. Most specifications are normally written specially by THE KAFUCO to suit the Contract in hand. There is no standard set of Specifications for universal application in all sectors in all countries, but there are established principles and practices, which are reflected in this document

There are considerable advantages in standardizing General Specifications for repetitive Services in recognized public sectors, such as education, health, sanitation, social and urban housing, roads, ports, railways, irrigation, and water supply, in the same country or region where similar conditions prevail. The General Specifications should cover all classes of workmanship, materials, and equipment commonly involved in the provision of Services, although not necessarily to be used in a particular Services Contract. Deletions or addenda should then adapt the General Specifications to the particular Services.

Care must be taken in drafting specifications to ensure that they are not restrictive. In the specification of standards for goods, materials, Services, and workmanship, recognized international standards should be used as much as possible. Where other particular standards are used, whether national standards of Kenya or other standards, the specifications should state that goods, materials, Services and workmanship that meet other authoritative standards, and which ensure substantially equal or higher quality than the standards mentioned, will also be acceptable.

If technical alternatives for parts of the Services are permitted in the tendering document, these parts shall be described in this Section.

These Notes for Preparing Specifications are intended only as information for KAFUCO or the person drafting the tendering docum

# PART III – CONDITIONS OF CONTRACT AND CONTRACT FORMS

## SECTION VIII - GENERAL CONDITIONS OF CONTRACT

#### A. General

#### **Provisions Definitions**

Unless the context otherwise requires, the following terms whenever used in this Contract have the following meanings:

- a) The Adjudicator is the person appointed jointly by KAFUCO and the Service Provider to resolve disputes in the first instance, as provided for in Sub-Clause8.2 hereunder.
- b) "Activity Schedule" is the priced and completed list of items of Services to be performed by the Service Provider forming part of his Tender;
- c) "Completion Date" means the date of completion of the Services by the Service Provider as certified by KAFUCO
- d) "Contract" means the Contract signed by the Parties, to which these General Conditions of Contract (GCC) are attached, together with all the documents listed in Clause 1 of such signed Contract;
- e) "Contract Price" means the price to be paid for the performance of the Services, in accordance with Clause 6:
- f) "Day works" means varied work inputs subject to payment on a time basis for the Service Provider's employees and equipment, in addition to payments for associated materials and administration.
- g) "KAFUCO" means KAFUCO or party who employs the Service Provider
- h) "Foreign Currency" means any currency other than the currency of Kenya;
- i) "GCC" means these General Conditions of Contract;
- j) "Government "means the Government of Kenya;
- k) "Local Currency "means Kenya shilling;
- 1) "Member," in case the Service Provider consist of a joint venture of more than one entity, means any of these entities; "Members" means all these entities, and "Member in Charge" means the entity specified in the SC to act on their behalf in exercising all the Service Provider rights and obligations towards KAFUCO under this Contract;
- m) "Party" means KAFUCO or the Service Provider, as the case maybe, and "Parties" means both of them;
- n) "Personnel" means persons hired by the Service Provider or by any Subcontractor as employees and assigned to the performance of the Services or any part there of;
- o) "Service Provider" is a person or corporate body whose Tender to provide the Services has been accepted by KAFUCO;
- p) "Service Provider's Tender" means the completed Tendering Document submitted by the Service Provider to KAFUCO
- q) "SCC" means the Special Conditions of Contract by which the GCC may be amended or supplemented;
- r) "Specifications" means the specifications of the service included in the Tendering Document submitted by the Service Provider to KAFUCO
- s) "Services" means the work to be performed by the Service Provider pursuant to this Contract, as described in Appendix A; and in the Specifications and Schedule of Activities included in the Service Provider's Tender.
- t) "Subcontractor" means any entity to which the Service Provider subcontracts any part of the Services in accordance with the provisions of Sub-Clauses 3.5 and 4;
- u) "Public Procurement Regulatory Authority (PPRA)" shall mean the Government Agency responsible for oversight of public procurement.
- v) "Project Manager" shall the person appointed by KAFUCO to act as the Project Manager for the purposes of the Contract and named in the Particular Conditions of Contract, or other person appointed from time to time by KAFUCO and notified to the Contractor.

w) "Notice of Dissatisfaction" means the notice given by either Party to the other indicating its dissatisfaction and intention to commence arbitration.

## 1.2 Applicable Law

The Contract shall be interpreted in accordance with the laws of Kenya.

#### 1.3 Language

This Contract has been executed in the English language, which shall be the binding and controlling language for all matters relating to the meaning or interpretation of this Contract.

#### 1.4 Notices

Any notice, request, or consent made pursuant to this Contract shall be in writing and shall be deemed to have been made when delivered in person to an authorized representative of the Party to whom the communication is addressed, or when sent by registered mail, hand delivery, or email to such Party at the address **specified in the SCC.** 

#### 1.5 Location

The Services shall be performed at such locations as a respecified in Appendix A, in the specifications and, where the location of a particular task is not so specified, at such locations, whether in Kenya or elsewhere, as THE KAFUCO may approve.

#### 1.6 Authorized Representatives

Any action required or permitted to be taken, and any document required or permitted to be executed, under this Contract by THE KAFUCO or the Service Provider may be taken or executed by the officials **specified** in the SCC.

#### 1.7 Inspection and Audit by the PPRA

Pursuant to paragraph 2.2 e. of Attachment 1 to the General Conditions, the Service Provider shall permit and shall cause its sub-contract or sand sub-consultants to permit, PPRA and/or persons appointed by PPRA to inspect the Site and/or the accounts and records relating to the procurement process, selection and/or contract execution, and to have such accounts and records audited by auditors appointed by PPRA. The Service Provider's and its Sub-contractors' and sub-consultants' attention is drawn to Sub-Clause 3.10 which provides, interalia, that acts intended to materially impede the exercise of PPRA's inspection and audit rights constitute a prohibited practice subject to contract termination (as well as to a determination of ineligibility pursuant to PPRA's prevailing sanctions procedures).

#### 1.8 Taxes and Duties

The Service Provider, Subcontractors, and their Personnel shall pay such taxes, duties, fees, and other impositions as may be levied under the Applicable Law, the amount of which is deemed to have been included in the Contract Price.

## 2 Commencement, Completion, Modification, and Termination of Contract

#### 2.1 Effectiveness of Contract

This Contract shall come into effect on the date the Contract is signed by both parties or such other later date as maybe **stated in the SCC.** 

#### 2.2 Commencement of Services

## 1.2.1 Program

Before commencement of the Services, the Service Provider shall submit to THE KAFUCO for approval a Program showing the general methods, arrangements order and timing for all activities. The Services shall be carried out in accordance with the approved Program as updated.

## 2.2.2 Starting Date

The Service Provider shall start carrying out the Services thirty (30) days after the date the Contract becomes effective, or at such other date as may be **specified in the SCC.** 

#### 2.3 Intended Completion Date

Unless terminated earlier pursuant to Sub-Clause 2.6, the Service Provider shall complete the activities by the Intended Completion Date, as is **specified in the SCC.** If the Service Provider does not complete the activities by the Intended Completion Date, it shall be liable to pay liquidated damage as per Sub-Clause 3.8. In this case, the Completion Date will be the date of completion of all activities.

#### 2.4 Modification

Modification of the terms and conditions of this Contract, including any modification of the scope of the Services or of the Contract Price, may only be made by written agreement between the Parties.

#### 2.4.1 Value Engineering

The Service Provider may prepare, at its own cost, a value engineering proposal at any time during the performance of the contract. The value engineering proposal shall, at a minimum, include the following;

- a) The proposed change(s), and a description of the difference to the existing contract requirements;
- b) A full cost/benefit analysis of the proposed change(s) including a description and estimate of costs (including life cycle costs, if applicable) KAFUCO may incur in implementing the value engineering proposal; and
- c) A description of any effect(s) of the change on performance/functionality.

KAFUCO may accept the value engineering proposal if the proposal demonstrates benefits that:

- a) accelerates the delivery period; or
- b) reduces the Contract Price or the lifecycle costs to KAFUCO; or
- c) improves the quality, efficiency, safety or sustainability of the services; or
- d) yields any other benefits to THE KAFUCO, without compromising the necessary functions of the Facilities.

If the value engineering proposal is approved by KAFUCO and results in:

- a) a reduction of the Contract Price; the amount to be paid to the Service Provider shall be the percentage specified in the SCC of the reduction in the Contract Price; or
- b) an increase in the Contract Price; but results in a reduction in lifecycle costs due to any benefit described in (a) to(d)above, the amount to be paid to the Service Provider shall be the full increase in the Contract Price.

### 2.5 Force Majeure

#### 2.5.1 Definition

For the purposes of this Contract, "ForceMajeure" means an event which is beyond the reasonable control of a Party and which makes a Party's performance of its obligations under the Contract impossible or so impractical as to be considered impossible under the circumstances.

#### 2.5.2 No Breach of Contract

The failure of a Party to fulfill any of its obligations under the contract shall not be considered to be a breach of, or default under, this Contract insofar as such inability arises from an event of Force Majeure, provided that the Party affected by such an event (a) has taken all reasonable precautions, due care and reasonable alternative measures in order to carry out the terms and conditions of this Contract, and(b) has informed the other Party as soon as possible about the occurrence of such an event.

#### 2.5.3 Extension of Time

Any period with in which a Party shall, pursuant to this Contract, complete any action or task, shall be extended for a period equal to the time during which such Party was unable to perform such action as a result of Force Majeure.

## 2.5.4 Payments

During the period of their inability to perform the Services as a result of an event of Force Majeure, the Service Provider shall be entitled to continue to be paid under the terms of this Contract, as well as to be reimbursed for additional costs reasonably and necessarily incurred by them during such period for the purposes of the Services and in reactivating the Service after the end of such period.

#### 2.6 Termination

#### 2.6.1 By KAFUCO

KAFUCO may terminate this Contract, by not less than thirty(30) days' written notice of termination to the Service Provider, to be given after the occurrence of any of the events specified in paragraphs(a)through (d) of this Sub-Clause 2.6.1:

- a) If the Service Provider does not remedy a failure in the performance of its obligations under the Contract, within thirty (30) days after being notified or within any further period as KAFUCO may have subsequently approved in writing;
- b) if the Service Provider become insolvent or bankrupt;
- c) if, as the result of Force Majeure, the Service Provider is unable to perform a material portion of the Services for a period of not less than sixty (60) days; or
- d) if the Service Provider, in the judgment of KAFUCO has engaged in Fraud and Corruption, as defined in paragraph2.2a. of Attachment1 to the GCC, in competing for or in executing the Contract

#### 2.6.2 By the Service Provider

The Service Provider may terminate this Contract, by not less than thirty (30) days' written notice to KAFUCO , such notice to be given after the occurrence of any of the events specified in paragraphs (a) and (b) of this Sub-Clause 2.6.2:

- a) If KAFUCO fails to pay any monies due to the Service Provider pursuant to this Contract and not subject to dispute pursuant to Clause 7 within forty-five (45) days after receiving written notice from the Service Provider that such payment is overdue; or
- b) if, as the result of Force Majeure, the Service Provider is unable to perform a material portion of the Services for a period of not less than sixty (60) days.

#### 2.6.3 Payment up on Termination

Upon termination of this Contract pursuant to Sub-Clauses 2.6.1 or 2.6.2, KAFUCO shall make the following payments to the Service Provider:

- a) remuneration pursuant to Clause 6 for Services satisfactorily performed prior to the effective date of termination;
- b) except in the case of termination pursuant to paragraphs (a), (b), (d) of Sub-Clause 2.6.1, reimbursement of any reasonable cost incident to the prompt and orderly termination of the Contract, including the cost of the return travel of the Personnel.

#### **3** Obligations of the Service Provider

#### 3.1 General

The Service Provider shall perform the Services in accordance with the Specifications and the Activity Schedule, and carry out its obligations with all due diligence, efficiency, and economy, in accordance with generally accepted professional techniques and practices, and shall observe sound management practices, and employ appropriate advanced technology and safe methods. The Service Provider shall always act, in respect of any matter relating to this Contractor to the Services, as faithful adviser to KAFUCO, and shall at all times

support and safeguard KAFUCO 's legitimate interests in any dealings with Subcontractors or third parties.

#### 3.2 Conflict of Interests

#### 3.2.1 Service Provider Not to Benefit from Commissions and Discounts.

The remuneration of the Service Provider pursuant to Clause 6 shall constitute the Service Provider's sole remunerationinconnectionwiththisContractortheServices, and theServiceProvidershallnotacceptfortheir own benefit any trade commission, discount, or similar payment in connection with activities pursuant to this Contractor to the Services or in the discharge of their obligations under the Contract, and the Service Provider shall use their best efforts to ensure that the Personnel, any Subcontractors, and agents of either of them similarly shall not receive any such additional remuneration.

## 3.2.2 Service Provider and Affiliates Not to be Otherwise Interested in Project

The Service Provider agree that, during the term of this Contract and after its termination, the Service Provider and its affiliates, as well as any Subcontractor and any of its affiliates, shall bed is qualified from providing goods, works, or Services(other than the Services and any continuation thereof) for any project resulting from or closely related to the Services.

#### 3.2.3 Prohibition of Conflicting Activities

Neither the Service Provider nor its Subcontractors nor the Personnel shall engage, either directly or indirectly, in any of the following activities:

- a) During the term of this Contract, any business or professional activities in Kenya which would conflict with the activities assigned to them under this Contract;
- b) during the term of this Contract, neither the Service Provider nor their Subcontractors shall hire public employees' inactive duty or on any type of leave, to perform any activity under this Contract;
- c) After the termination of this Contract, such other activities as may be specified in the SCC.

#### 3.3 Confidentiality

The Service Provider, its Subcontractors, and the Personnel of either of them shall not, either during the term or within two (2) years after the expiration of this Contract, disclose any proprietary or confidential information relating to the Project, the Services, this Contract, or KAFUCO 's business or operations without the prior written consent of KAFUCO.

3.4 **The Service Provider** (a) shall take out and maintain, and shall cause any Subcontractors to take out and maintain, at its (or the Sub contractors', as the case may be) own cost but on terms and conditions approved by KAFUCO, insurance against the risks, and for the coverage, as shall be **specified in the SCC**; and (b) at THE KAFUCO 's request, shall provide evidence to KAFUCO showing that such insurance has been taken out and maintained and that the current premiums have been paid.

#### 3.5 Service Provider's Actions Requiring KAFUCO's Prior Approval

The Service Provider shall obtain KAFUCO 's prior approval in writing before taking any of the following actions:

- a) Entering into a subcontract for the performance of any part of the Services,
- b) appointing such members of the Personnel not listed by name in Appendix C ("Key Personnel and Subcontractors"),
- c) changing the Program of activities; and
- d) Any other action that may be specified in the SCC.

## 3.6 Reporting Obligations

The Service Provider shall submit to KAFUCO the reports and documents specified in Appendix B in the form, in the numbers, and within the periods set forth in the said Appendix.

## 3.7 Documents Prepared by the Service Provider to Be the Property of THE KAFUCO

All plans, drawings, specifications, designs, reports, and other documents and software submitted by the Service Provider in accordance with Sub-Clause 3.6 shall become and remain the property of KAFUCO, and the Service Provider shall, not later than upon termination or expiration of this Contract, deliver all such documents and software to KAFUCO, together with a detailed inventory thereof. The Service Provider may retain a copy of such documents and software. Restrictions about the future use of these documents, if any, shall be **specified** in the SCC.

#### 3.8 Liquidated Damages

#### 3.8.1 Payments of Liquidated Damages

The Service Provider shall pay liquidated damages to KAFUCO at the rate per day **stated in the SCC** for each day that the Completion Date is later than the Intended Completion Date. The total amount of liquidated damages shall not exceed the amount **defined in the SCC.** KAFUCO may deduct liquidated damages from payments due to the Service Provider. Payment of liquidated damages shall not affect the Service Provider's liabilities.

## 3.8.2 Correction for Over-payment

If the Intended Completion Date is extended after liquidated damages have been paid, KAFUCO shall correct any overpayment of liquidated damages by the Service Provider by adjusting the next payment certificate. The Service Provider shall be paid interest on the overpayment, calculated from the date of payment to the date of repayment, at the rates specified in Sub-Clause 6.5.

#### 3.8.3 Lack of performance penalty

If the Service Provider has not corrected a Defect within the time specified in KAFUCO 's notice, a penalty for Lack of performance will be paid by the Service Provider. The amount to be paid will be calculated as a percentage of the cost of having the Defect corrected, assessed as described in Sub-Clause 7.2 and **specified in the SCC.** 

#### 3.9 Performance Security

The Service Provider shall provide the Performance Security to KAFUCO no later than the date specified in the Form of acceptance. The Performance Security shall be issued in an amount and form and by a bank or surety acceptable to KAFUCO, and denominated in the types and proportions of the currencies in which the Contract Price is payable. The performance Security shall be valid until a date 28 day from the Completion Date of the Contract in case of a bank guarantee, and until one year from the Completion Date of the Contract in the case of a Performance Bond.

#### 3.10 Fraud and Corruption

KAFUCO requires compliance with the Government's Anti-Corruption laws and its prevailing sanctions. KAFUCO requires the Service Provider to disclose any commissions or fees that may have been paid or are to be paid to agents or any other party with respect to the tendering process or execution of the Contract. The information disclosed must include at least the name and address of the agent or other party, the amount and currency, and the purpose of the commission, gratuity or fee.

#### 3.11 Sustainable Procurement

The Service Provider shall conform to the sustainable procurement contractual provisions, if and as specified in the SCC.

#### 4 Service Provider's Personnel

#### 4.1 Description of Personnel

The titles, agreed job descriptions, minimum qualifications, and estimated periods of engagement in the carrying out of the Services of the Service Provider's Key Personnel are described in Appendix C. The Key Personnel and Subcontractors listed by title as well as by name in Appendix Care hereby approved by THE KAFUCO.

#### 4.2 Removal and/or Replacement of Personnel

- a) Except as KAFUCO may otherwise agree, no changes shall be made in the Key Personnel. If, for any reason beyond the reasonable control of the Service Provider, it becomes necessary to replace any of the Key Personnel, the Service Provider shall provide as a replacement a person of equivalent or better qualifications.
- b) If KAFUCO finds that any of the Personnel have (i) committed serious misconduct or have been charged with having committed a criminal action, or (ii) have reasonable cause to be dissatisfied with the performance of any of the Personnel, then the Service Provider shall, at KAFUCO 's written request specifying the grounds thereof, provide as a replacement a person with qualifications and experience acceptable to KAFUCO.
- c) The Service Provider shall have no claim for additional costs arising out of or incidental to any removal and/or replacement of Personnel.

#### **5** Obligations of KAFUCO

#### **5.1** Assistance and Exemptions

KAFUCO shall use its best efforts to ensure that the Government shall provide the Service Provider such assistance and exemptions as **specified in the SCC.** 

#### 5.2 Change in the Applicable Law

If, after the date of this Contract, there is any change in the Applicable Law with respect to taxes and duties which increases or decreases the cost of the Services rendered by the Service Provider, then the remuneration and reimbursable expenses otherwise payable to the Service Provider under this Contract shall be increased or decreased accordingly by agreement between the Parties, and corresponding adjustments shall be made to the amounts referred to in Sub-Clauses 6.2(a) or (b), as the case may be.

#### **5.3** Services and Facilities

KAFUCO shall make available to the Service Provider the Services and Facilities listed under Appendix F.

#### **6** Payments to the Service Provider

#### **6.1** Lump-Sum Remuneration

The Service Provider's remuneration shall not exceed the Contract Price and shall be a fixed lump-sum including all Subcontractors' costs, and all other costs incurred by the Service Provider in carrying out the Services described in Appendix A. Except as provided in Sub-Clause 5.2, the Contract Price may only be increased above the amounts stated in Sub-Clause 6.2 if the Parties have agreed to additional payments in accordance with Sub-Clauses 2.4 and 6.3.

#### **6.2** Contract Price

- a) The price payable is set forth in the SCC.
- b) Price may be payable in foreign currency, if so allowed in this document.

## 6.3 PaymentforAdditionalServices,andPerformanceIncentiveCompensation

6.3.1 For the purpose of determining the remuneration due for additional Services as may be agreed under Sub-Clause 2.4, a breakdown of the lump-sum price is provided in Appendices D and E.

- 6.3.2 **If the SCC so specify,** the service provider shall be paid performance incentive compensation asset out in the Performance Incentive Compensation appendix.
- 6.3.3 Where the contract price is different from the corrected tender price, in order to ensure the contractor is not paid less or more relative to the contract price (*which would be the tender price*), payment valuation certificates and variation orders on omissions and additions valued based on rates in the schedule of rates in the Tender, will be adjusted by a <u>plus or minus</u> percentage. The percentage already worked out during tender evaluation is worked out as follows:(*corrected tender price—tender price)/tender price X100*.

#### 6.4 Terms and Conditions of Payment

Payments will be made to the Service Provider according to the payment schedule **stated in the SCC. Unless otherwise stated in the SCC**, the advance payment (Advance for Mobilization, Materials and Supplies) shall be made against the provision by the Service Provider of a bank guarantee for the same amount, and shall be valid for the period **stated in the SCC**. Any other payment shall be made after the conditions **listed in the SCC** for such payment have been met, and the Service Provider have submitted an invoice to KAFUCO specifying the amount due.

## **6.5** Interest on Delayed Payments

If KAFUCO has delayed payments beyond thirty (30) days after the due date stated in the SCC, interest shall be paid to the Service Provider foreach day of delay at the rate stated in **the SCC**.

#### 6.6 Price Adjustment

6.6.1 Prices shall be adjusted for fluctuations in the cost of inputs only if **provided for in the SCC.** If so provided, the amounts certified in each payment certificate, after deducting for Advance Payment, shall be adjusted by applying the respective price adjustment fact or to the payment amounts due in each currency. A separate formula of the type indicated below applies to each Contract currency:

$$P_c = A_c + B_c Lmc / Loc + C_c Imc / Ioc$$

Where:

P<sub>c</sub> is the adjustment factor for the portion of the Contract Price payable in a specific currency "c".

A<sub>c</sub>, B<sub>c</sub> and C<sub>c</sub> are coefficients specified in the SCC, representing: A<sub>c</sub> the non-adjustable portion; B<sub>c</sub> the adjustable portion relative to labor costs and C<sub>c</sub> the adjustable portion for other inputs, of the Contract Price payable in that specific currency "c"; and

Lmc is the index prevailing at the first day of the month of the corresponding invoiced ate and Loc is the index prevailing 28 days before Tender opening for labor; both in the specific currency "c".

Imc is the index prevailing at the first day of the month of the corresponding invoice date and Ioc is the index prevailing 28 days before Tender opening for other inputs payable; both in the specific currency "c".

If a price adjustment factor is applied to payments made in a currency other than the currency of the source of the index for a particular indexed input, a correction factor Zo/Zn will be applied to the respective component factor of pn for the formula of the relevant currency. Zo is the number of units of Kenya Shillings of the index, equivalent to one unit of the currency payment on the date of the base index, and Zn is the corresponding number of such currency units on the date of the current index.

6.6.2 If the value of the index is changed after it has been used in a calculation, the calculation shall be corrected and an adjustment made in the next payment certificate. The index value shall be deemed to take account to fall changes in cost due to fluctuations in costs.

#### 6.7 Day works

6.7.1 If applicable, the Day work rates in the Service Provider's Tender shall be used for small additional amounts of Services only when KAFUCO has given written instructions in advance for additional services to be paid in that way.

- 6.7.2 All work to be paid for as Day works shall be recorded by the Service Provider on forms approved by KAFUCO . Each completed form shall be verified and signed by THE KAFUCO representative as indicated in Sub-Clause 1.6 within two days of the Services being performed.
- 6.7.3 The Service Provider shall be paid for Day works subject to obtaining signed Day works forms as indicated in Sub-Clause6.7.2

## **7 Quality Control**

#### 7.1 Identifying Defects

The principle and modalities of Inspection of the Services by KAFUCO shall be as **indicated in the SCC.** KAFUCO shall check the Service Provider's performance and notify him of any Defects that are found. Such checking shall not affect the Service Provider's responsibilities. KAFUCO may instruct the Service Provider to search for a Defect and to uncover and test any service that KAFUCO considers may have a Defect. Defect Liability Period is as **defined in the SCC**.

#### **Correction of Defects, and Lack of Performance Penalty**

- a) KAFUCO shall give notice to the Service Provider of any Defects before the end of the Contract. The Defects liability period shall be extended for as long as Defects remain to be corrected.
- b) Every time notice a Defect is given, the Service Provider shall correct the notified Defect within the length of time specified by KAFUCO 's notice.
- c) If the Service Provider has not corrected a Defect within the time specified in KAFUCO 's notice, KAFUCO will assess the cost of having the Defect corrected, the Service Provider will pay this amount and a Penalty for Lack of Performance calculated as described in Sub-Clause 3.8.

#### **8** Settlement of Disputes

#### 8.1 Contractor's Claims

- 8.1.1 If the Contractor considers himself to be entitled to any extension of the Time for Completion and/or any additional payment, under any Clause of these Conditions or otherwise in connection with the Contract, the Contractor shall give notice to the Project Manager, describing the event or circumstance giving rise to the claim. The notice shall be given as soon as practicable, and not later than 28 days after the Contractor became aware, or should have become aware, of the event or circumstance.
- 8.1.2 If the Contractor fails to give notice of a claim within such period of 28days, the Time for Completion shall not be extended, the Contractor shall not be entitled to additional payment, and KAFUCO shall be discharged from all liability in connection with the claim. Otherwise, the following provisions of this Sub-Clauses hall apply.
- 8.1.3 The Contractor shall also submit any other notices which are required by the Contract, and supporting particulars for the claim, all s relevant to such event or circumstance.
- 8.1.4 The Contractor shall keep such contemporary records as may be necessary to substantiate any claim, either on the Site or at another location acceptable to the Project Manager. Without admitting KAFUCO 's liability, the Project Manager may, after receiving any notice under this Sub-Clause, monitor the record-keeping and /or instruct the Contractor to keep further contemporary records. The Contractor shall permit the Project Manager to inspect all these records, and shall (if instructed) submit copies to the Project Manager.
- 8.1.5 Within 42 days after the Contractor became aware (or should have become aware) of the event or circumstance giving rise to the claim, or within such other period as may be proposed by the Contractor and approved by the Project Manager, the Contractor shall send to the Project Manager a fully detailed claim which includes full supporting particulars of the basis of the claim and of the extension of time and /or additional payment claimed. If the event or circumstance giving rise to the claim has a continuing effect:
- 8.1.5.1 This fully detailed claim shall be considered as interim;
  - a) The Contractor shall send further interim claims at monthly intervals, giving the accumulated delay and /or amount claimed, and such further particulars as the Project Manager may reasonably require; and

- b) The Contractor shall send a final claim within 28 days after the end of the effects resulting from the event or circumstance, or within such other period as may be proposed by the Contractor and approved by the Project Manager.
- 8.1.6 Within 42 days after receiving a claim or any further particulars supporting a previous claim, or within such other period as may be proposed by the Project Manager and approved by the Contractor, the Project Manager shall respond with approval, or with disapproval and detailed comments. He may also request any necessary further particulars, but shall nevertheless give his response on the principles of the claim within the above defined time period.
- 8.1.7 Within the above defined period of 42 days, the Project Manager shall proceed in accordance with Sub-Clause 3.5[Determinations] to agree or determine (i) the extension (if any) of the Time for Completion (before or after its expiry) in accordance with Sub-Clause 8.4 [Extension of Time for Completion], and/or (ii) the additional payment (if any) to which the Contractor is entitled under the Contract.
- 8.1.8 Each Payment Certificate shall include such additional payment for any claim as has been reasonably substantiated as due under the relevant provision of the Contract. Unless and until the particulars supplied are sufficient to substantiate the whole of the claim, the Contractor shall only been titled to payment for such part of the claim as he has be enable to substantiate.
- 8.1.9 If the Project Manager does not respond within the time framed fined in this Clause, either Party may consider that the claim is rejected by the Project Manager and any of the Parties may refer to Arbitration in accordance withSub-Clause8.2 [Matters that may be referred to arbitration].
- 8.1.10 The requirements of this Sub-Clause are in addition to those of any other Sub-Clause which may apply to a claim. If the Contract or fails to comply with this or another Sub-Clause in relation to any claim, any extension of time and/or additional payment shall take account of the extent (if any) to which the failure has prevented or prejudiced proper investigation of the claim, unless the claim is excluded under the second paragraph of this Sub-Clause.

#### 8.2 Matters that may be referred to arbitration

- 8.2.1 Notwithstanding anything stated herein the following matters may be referred to arbitration before the practical completion of the Services or abandonment of the Services or termination of the Contract by either party:
  - a) The appointment of a replacement Project Manager upon the said person ceasing to act.
  - b) Whether or not the issue of an instruction by the Project Manager is empowered by these Conditions
  - c) Whetherornotacertificatehasbeenimproperlywithheldorisnotinaccordance with these Conditions.
  - e) Any dispute arising in respect of war risks or war damage.
  - f) All other matters shall only be referred to arbitration after the completion or alleged completion of the Services or termination or alleged termination of the Contract, unless KAFUCO and the Contractor agree otherwise in writing.

#### 8.3 Amicable Settlement

8.3.1 Where a Notice of Dis satisfaction has been given, both Parties shall attempt to settle the dispute amicably before the commencement of arbitration. However, unless both Parties agree otherwise, the Party giving a Notice of Dissatisfaction in accordance with Sub-Clause 8.1 above should move to commence arbitration after the fifty-sixth day from the day on which a Notice of Dissatisfaction was given, even if no attempt at an amicable settlement has been made.

#### 8.4 Arbitration

- 8.4.1 Any claim or dispute between the Parties arising out of or in connection with the Contract not settled amicably in accordance with Sub-Clause 8.3 shall be finally settled by arbitration. Arbitration shall be conducted in accordance with the Arbitration Laws of Kenya.
- 8.4.2 The arbitrators shall have full power to open up, review and revise any certificate, determination, instruction, opinion or valuation of the Project Manager, relevant to the dispute. Nothing shall disqualify representatives of the Parties and the Project Manager from being called as a witness and giving evidence before the arbitrators on any matter whatsoever relevant to the dispute.

- 8.4.3 Neither Party shall be limited in the proceedings before the arbitrators to the evidence, or to the reasons for dissatisfaction given in its Notice of Dissatisfaction.
- 8.4.4 Arbitration may be commenced prior to or after completion of the services. The obligations of the Parties, and the Project Manager shall not be altered by reason of any arbitration being conducted during the progress of the services.
- 8.4.5 The terms of the remuneration of each or all the members of Arbitration shall be mutually agreed upon by the Parties when agreeing the terms of appointment. Each Party shall be responsible for paying one-half of this remuneration.

## 8.5 Arbitration with proceedings

- 8.5.1 In case of any claim or dispute, such claim or dispute shall be notified in writing by either party to the other with a request to submit to arbitration and to concur in the appointment of an Arbitrator within thirty days of the notice. The dispute shall be referred to the arbitration and final decision of a person to be agreed between the parties. Failing agreement to concur in the appointment of an Arbitrator, the Arbitrator shall be appointed, on the request of the applying party, by the Chairman or Vice Chairman of any of the following professional institutions:
  - a) Law Society of Kenya or
  - b) Chartered Institute of Arbitrators (Kenya Branch)
- 8.5.2 The institution written to first by the aggrieved party shall take precedence over all other institutions.
- 8.5.3 The arbitration maybe on the construction of this Contractor on any matter or thing of what so ever nature arising there under or in connection there with, including any matter or thing left by this Contract to the discretion of the Project Manager, or the withholding by the Project Manager of any certificate to which the Contractor may claim to been titled to or the measurement and valuation referred to in clause 23.0 of these conditions, or the rights and liabilities of the parties subsequent to the termination of Contract.
- 8.5.4 Provided that no arbitration proceedings shall be commenced on any claim or dispute where notice of a claim or dispute has not been given by the applying party within ninety days of the occurrence or discovery of the matter or issue giving rise to the dispute.
- 8.5.5 Notwithstanding the issue of a notice as stated above, the arbitration of such a claim or dispute shall not commence unless an attempt has in the first instance been made by the parties to settle such claim or dispute amicably with or without the assistance of third parties. Proof of such attempt shall be required.
- 8.5.6 The Arbitrator shall, without prejudice to the generality of his powers, have powers to direct such measurements, computations, tests or valuations as may in his opinion be desirable in order to determine the rights of the parties and assess and award any sums which ought to have been the subject of or included in any certificate.
- 8.5.7 The Arbitrator shall, without prejudice to the generality of his powers, have powers to open up, review and revise any certificate, opinion, decision, requirement or notice and to determine all matters in dispute which shall be submitted to him in the same manner as if no such certificate, opinion, decision requirement or notice had been given.
- 8.5.8 The award of such Arbitrator shall be final and binding upon the parties.

## 8.6 Failure to Comply with Arbitrator's Decision

8.6.1 In the event that a Party fails to comply with a final and binding Arbitrator's decision, then the other Party may, without prejudice to any other rights it may have, refer the matter to a competent court of law.

#### 9.1 The Adjudicator

9.1.1 Should the Adjudicator resign or die, or should KAFUCO and the Service Provider agree that the Adjudicator is not functioning in accordance with the provisions of the Contract; a new Adjudicator will be jointly appointed by KAFUCO and the Service Provider. In case of disagreement between KAFUCO and the Service Provider, within 30days, the Adjudicator shall be designated by the Appointing Authority **designated in the SCC** at the request of either party, within 14 days of receipt of such request.

9.2 The Adjudicator shall be paid by the hour at the rate **specified in the TDS and SCC**, together with reimbursable expenses of the type's **specified in the SCC**, and the cost shall be divided equally between KAFUCO and the Service Provider, whatever decision is reached by the Adjudicator. Either party may refer a decision of the Adjudicator to an Arbitrator within28 days of the Adjudicator's written decision. If neither party refers the dispute to arbitration within the above 28 days, the Adjudicator's decision will be final and binding.

B. SPECIAL CONDITIONS OF CONTRACT
SECTION IX - SPECIAL CONDITIONS OF CONTRACT

## C. APPENDICES

#### **Appendix A - Description of the Services**

Give detailed descriptions of the Services to be provided, dates for completion of various tasks, place of performance for different tasks, specific tasks to be approved by KAFUCO, etc.

## Appendix B - Schedule of Payments and Reporting Requirements

List all milestones for payments and list the format, frequency, and contents of reports or products to be delivered; persons to receive them; dates of submission; etc. If no reports are to be submitted, state here "Not applicable."

## Appendix C - Breakdown of Contract Price

List here the elements of cost used to arrive at the breakdown of the lump-sum price:

- 1. Rates for Equipment Usage or Rental or for Personnel (Key Personnel and other Personnel).
- 2. Reimbursable expenditures.

This appendix will exclusively be used for determining remuneration for additional Services.

## Appendix D - Services and Facilities Provided by KAFUCO

## D. FORMS

#### **SECTION X - CONTRACT FORMS**

FORM NO. 1 - PERFORMANCE SECURITY – (Unconditional Demand Bank Guarantee) [Guarantor letterhead or SWIFT identifier code] Beneficiary: \_\_\_\_\_\_[insert name and Address of KAFUCO] Date: [Insert date of issue] PERFORMANCE GUARANTEE No.: **Guarantor:** [Insert name and address of place of issue, unless indicated in the letterhead] We have been informed that \_\_\_\_\_\_ (hereinafter called "the Applicant") has entered into Contract No. \_\_\_\_\_ dated \_\_\_\_ with the Beneficiary, for the execution of \_\_\_\_\_ 1. (herein after called "the Contract"). Furthermore, we understand that, according to the conditions of the Contract, a performance guarantee is 2. required. 3. At the request of the Applicant, we as Guarantor, hereby irrevocably under take to pay the Beneficiary any sum or sums not exceeding in total an amount of \_\_\_\_\_(), such sum being payable in the types and proportions of currencies in which the Contract Price is payable, upon receipt by us of the Beneficiary's complying demand supported by the Beneficiary's statement, whether in the demand itself or in a separate signed document accompanying or identifying the demand, stating that the Applicant is in breach of its obligation(s) under the Contract, without the Beneficiary needing to prove or to show grounds for your demand or the sum specified This guarantee shall expire, no later than the....Day of....., 2...<sup>2</sup>, and any demand for payment under it must 4. be received by us at this office indicated above on or before that date. 5. The Guarantor agrees to a one-time extension of this guarantee for a period not to exceed [six months] [one year], in response to the Beneficiary's written request for such extension, such request to be presented to the Guarantor before the expiry of the guarantee." [Name of Authorized Official, signature(s) and seals/stamps]

Note: All italicized text (including footnotes) is for use in preparing this form and shall be deleted from the final product.

<sup>&</sup>lt;sup>1</sup>The Guarantor shall insert an amount representing the percentage of the Accepted Contract Amount specified in the Letter of Acceptance, less provisional sums, if any, and denominated either in the currency(ies) of the Contract or a freely convertible currency acceptable to the Beneficiary.

<sup>&</sup>lt;sup>2</sup>Insert the date twenty-eight days after the expected completion date as described in GC Clause 11.9. KAFUCO should note that in the event of an extension of this date for completion of the Contract, KAFUCO would need to request an extension of this guarantee from the Guarantor. Such request must be in writing and must be made prior to the expiration date established in the guarantee. In preparing this guarantee, KAFUCO might consider adding the following text to the form, at the end of the pen ultimate paragraph: "The Guarantor agrees to a one-time extension of this guarantee for a period not to exceed [six months] [one year], in response to the Beneficiary's written request for such extension, such request to be presented to the Guarantor before the expiry of the guarantee."

## FORM No. 2 - PERFORMANCE SECURITY OPTION 2 - (Performance Bond)

[Note: Procuring Entities are advised to use Performance Security—Unconditional Demand Bank Guarantee instead of Performance Bond due to difficulties involved in calling Bond holder to action]

Ber	eficia	or letterhead or SWIFT identifier code]  ry: [insert name and Address of KAFUCO]  _[Insert date of issue]	
PE	RFOF	RMANCE BOND No.:	
Gua	aranto	or: [Insert name and address of place of issue, unless indicated in the letterhead]	
	Con Sur type ther	as Principal (hereinafter called "the ntractor") and as Surety (herein after called "the ntractor"), are held and firmly bound unto_] as Obligee (herein after called KAFUCO ")in the amount of for the payment of which sum well and truly to be made in the es and proportions of currencies in which the Contract Price is payable, the Contractor and the Surety bind mselves, their heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these sents.	
2.	day spe	HEREAS the Contractor has entered into a written Agreement with KAFUCO dated the of, 20, for in accordance with the documents, plans, cifications, and amendments thereto, which to the extent herein provided for, are by reference made part eof and are herein after referred to as the Contract.	
3. NOW, THEREFORE, the Condition of this Obligation is such that, if the Contractor shall promptly a faithfully perform the said Contract (including any amendments thereto), then this obligation shall be null a void; otherwise, it shall remain in full force and effect. Whenever the Contractor shall be, and declared by TI KAFUCO to be, in default under the Contract, KAFUCO having performed KAFUCO 's obligations the under, the Surety may promptly remedy the default, or shall promptly:			
	1)	Complete the Contract in accordance with its terms and conditions; or	
	2)	Obtain a tender or tenders from qualified tenderers for submission to KAFUCO for completing the Contract in accordance with its terms and conditions, and upon determination by KAFUCO and the Surety of the lowest responsive Tenderers, arrange for a Contract between such Tenderer, and KAFUCO and make available as work progresses (even though there should be a default or a succession of defaults under the Contract or Contracts of completion arranged under this paragraph) sufficient funds to pay the cost of completion less the Balance of the Contract Price; but not exceeding, including other costs and damages for which the Surety may be liable here under, the amount set forth in the first paragraph hereof. The term "Balance of the Contract Price," as used in this paragraph, shall mean the total amount payable by KAFUCO to Contractor under the Contract, less the amount properly paid by KAFUCO to Contractor; or	
	3)	pay KAFUCO the amount required by KAFUCO to complete the Contract in accordance with its terms and conditions up to a total not exceeding the amount of this Bond.	
4.	The	e Surety shall not be liable for a greater sum than the specified penalty of this Bond.	
5.	the	y suit under this Bond must be instituted before the expiration of one year from the date of the issuing of Taking-Over Certificate. No right of action shall accrue on this Bond to or for the use of any person or poration other than KAFUCO named herein or the heirs, executors, administrators, successors, and assigns KAFUCO.	
6.	thes	estimony whereof, the Contractor has hereunto set his hand and affixed his seal, and the Surety has caused se presents to be sealed with his corporate seal duly attested by the signature of his legal representative, this of	

SIGNEDON	on behalf of
by	in the capacity
of In the presence of	
SIGNED ON	on behalf of
By	in the capacity
of In the presence of	

## FORM NO. 3 - ADVANCE PAYMENT SECURITY

## [Demand Bank Guarantee]

final product.

[Guarantor letter head or SWIFT identifier code] [Guarantor letter head or SWIFT identifier code]

Bene	ficiary:[Insert name and Address of KAFUCO]	
Date	[Insert date of issue]	
ADV	ANCE PAYMENTGUARANTEE No.: [Insert guarantee reference	
numb	per] Guarantor:[Insert name and address of place of issue, unless indicated in the letterhead]	
1.	We have been informed that (hereinafter called "the Applicant") has entered into Contract No dated with the Beneficiary, for the execution of (herein after called "the Contract").	
2.	Furthermore, we understand that, according to the conditions of the Contract, an advance payment in the sum  () is to be made against an advance payment guarantee.	
3.	At the request of the Applicant, we as Guarantor, hereby irrevocably undertake to pay the Beneficiary any sum or sums not exceeding in total an amount of()¹ upon receipt by us of the Beneficiary's complying demand supported by the Beneficiary's statement, whether in the demand itself or in a separate signed document ac companying or identifying the demand, stating either that the Applicant:	
	a) Has used the advance payment for purposes other than the costs of mobilization in respect of the Works;	or
	b) has failed to repay the advance payment in accordance with the Contract conditions, specifying the amount which the Applicant has failed to repay.	
4.	A demand under this guarantee may be presented as from the presentation to the Guarantor of a certificate from the Beneficiary's bank stating that the advance payment referred to above has been credited to the Applicant on its account numberat	
5.	The maximum amount of this guarantee shall be progressively reduced by the amount of the advance payment repaid by the Applicant as specified in copies of interim statements or payment certificates which shall be presented to us. This guarantee shall expire, at the latest, upon our receipt of a copy of the interim payment certificate indicating that ninety (90)percent of the Accepted Contract Amount, less provisional sums, has been certified for payment, or on the day of , 2,² whichever is earlier. Consequently, any demand for payment under this guarantee must be received by us at this office on or before that date.	
6.	The Guarantor agrees to a one-time extension of this guarantee for a period not to exceed [six months] [one year], in response to the Beneficiary's written request for such extension, such request to be presented to the Guarantor before the expiry of the guarantee.	
	[Name of Authorized Official, signature(s) and seals/stamps]	

Note: All italicized text (including footnotes) is for use in preparing this form and shall be deleted from the

<sup>&</sup>lt;sup>1</sup>The Guarantor shall insert an amount representing the amount of the advance payment and denominated either in the currency(ies) of the advance payment as specified in the Contract, or in a freely convertible currency acceptable to KAFUCO.

<sup>&</sup>lt;sup>2</sup>Insert the expected expiration date of the Time for Completion. KAFUCO should note that in the event of an extension of the time for completion of the Contract, KAFUCO would need to request an extension of this guarantee from the Guarantor. Such request must be in writing and must be made prior to the expiration

date established in the guarantee. In preparing this guarantee, KAFUCO might consider adding the following ext. to the form, at the end of the penultimate paragraph: "The Guarantor agrees to a one-time extension of this guarantee for a period not to exceed [six months] [one year], in response to the Beneficiary's written request for such extension, such request to be presented to the Guarantor before the expiry of the guarantee."